



National Aeronautics and
Space Administration



Development of Double and Tripled- Pulsed 2-micron IPDA Lidars for Column CO₂ Measurement

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Technology Office (ESTO)*





➤ Objectives

Demonstrate CO₂ measurement capability using 2-m double-pulse IPDA lidar.

Extend measurement capability to include H₂O using 2-m triple-pulse IPDA lidar.



Outline



- **CO₂ Double-Pulse 2- μ m IPDA Lidar**
 - **Methodology**
 - **Spectroscopy**
 - **Instrument and Integration**
 - **IPDA Ground Testing**
 - **IPDA Airborne Testing**
- **CO₂ and H₂O Triple-Pulse 2- μ m IPDA Lidar**
 - **Methodology**
 - **System Design**
 - **Specifications**



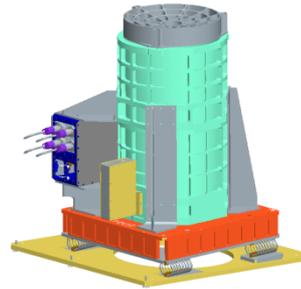
Development of a Double-Pulsed 2-micron Direct Detection IPDA Lidar for CO₂ Column Measurement from Airborne Platform



PI: Upendra N. Singh, NASA LaRC

Objective

- Develop, integrate and demonstrate a 2-micron pulsed Integrated Path Differential Absorption Lidar (IPDA) instrument CO₂ Column Measurement from Airborne platform
- Conduct ground validation test to demonstrate CO₂ retrieval
- Conduct engineering test flights to demonstrate CO₂ retrieval from UC-12 aircraft
- Conduct post flight data analysis for the purpose of evaluation of CO₂ measurement capability



Mobile and Airborne 2 μ m IPDA LIDAR system

Approach:

- Repurpose existing hardware including previously developed transmitter, receiver and data acquisition system
- Complete fabrication of transmitter, wavelength control and receiver units assembly
- Integrate existing and to be developed subsystems into a complete breadboard lidar system
- Fabricate a mechanical structure and integrate completed subsystem

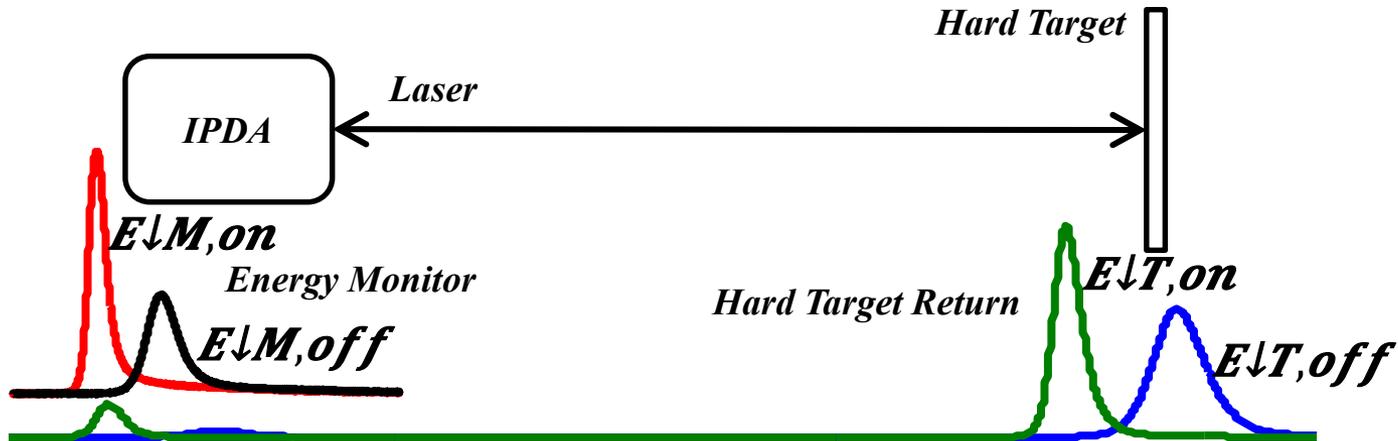
Key Milestones

- | | |
|--|-------|
| • Design of laser transmitter assembly | 10/12 |
| • Design, manufacture and assembly of receiver | 04/13 |
| • Integrate subsystems into breadboard lidar system | 06/13 |
| • Conduct ground test of the integrated lidar assembly | 07/13 |
| • Integrate lidar system on UC-12 aircraft | 11/13 |
| • Conduct post flight data analysis | 09/14 |

TRL_{in} = 3

TRL_{out} = 5 (AIRCRAFT)

Co-Is/Partners: Jirong Yu, Mulugeta Petros, Syed Ismail, NASA LaRC



- IPDA lidar relies on the Hard Target Lidar Equation

$$E_{\downarrow T} = \eta_{\downarrow r} \cdot \varphi_{\downarrow r} \cdot A_{\downarrow t} / \Delta R^2 \cdot E_{\downarrow M} \cdot \rho / \pi \cdot \exp[-OD(\lambda, R_{\downarrow G})]$$

- Double-pulse tuning defines CO₂ differential optical depth, the main IPDA product

$$dOD_{\downarrow cd} = \int_0^R \frac{2}{R^2} \cdot \Delta \sigma_{\downarrow cd} \cdot N_{\downarrow cd} \cdot dr \approx \ln(E_{\downarrow T, off} \cdot E_{\downarrow M, on} / E_{\downarrow M, off} \cdot E_{\downarrow T, on})$$

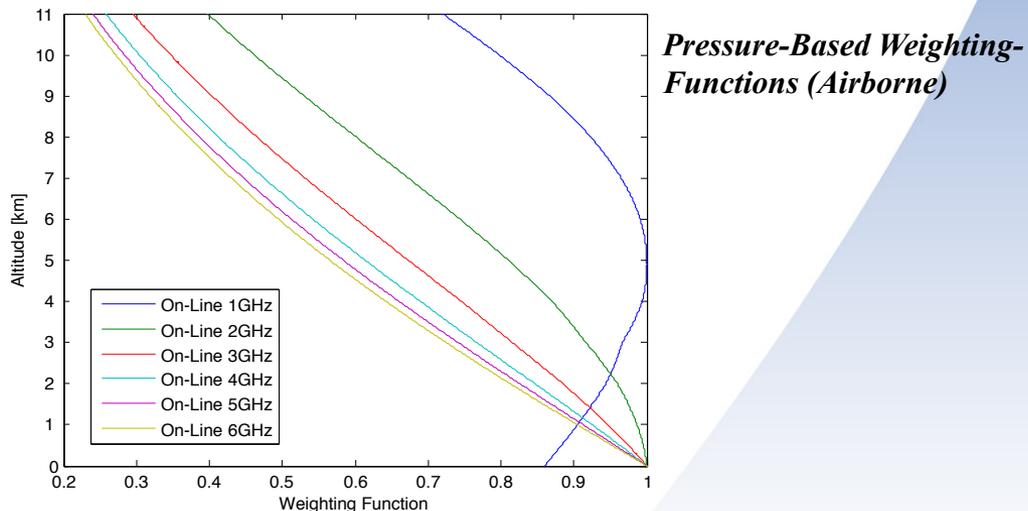
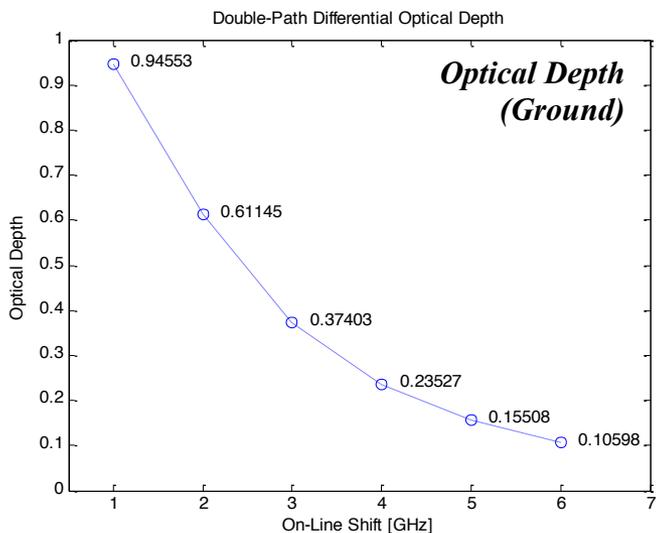
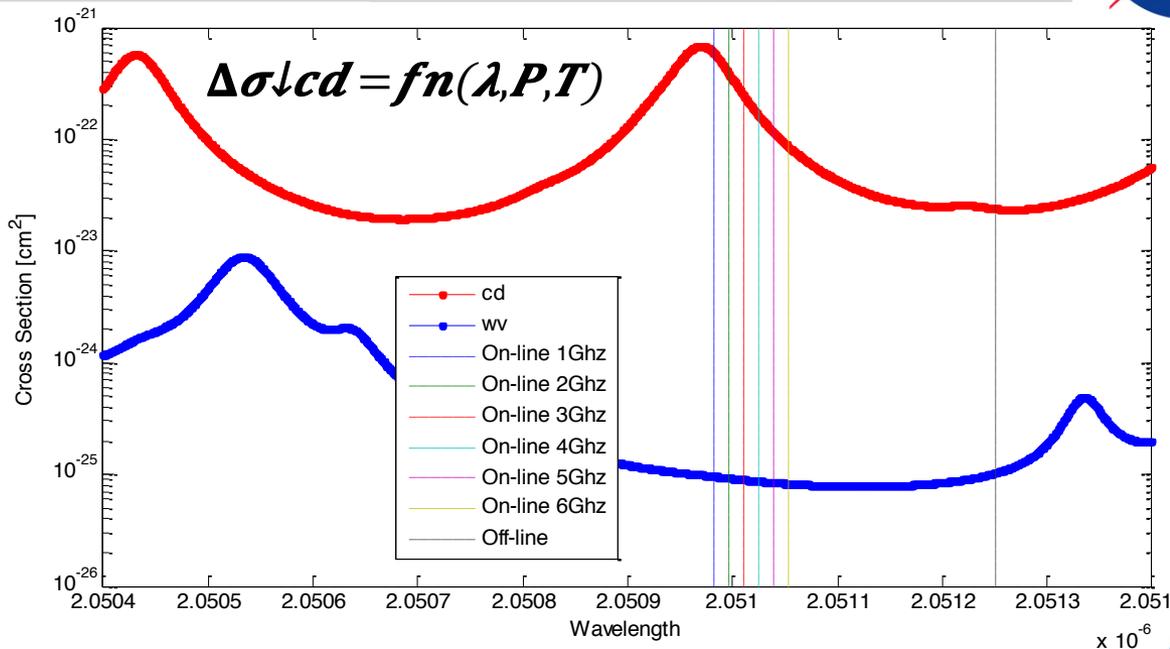
- Other IPDA products include ranging and surface reflectivity



Spectroscopy



- Standard models are used for estimating optical depth, return pulse strength, SNR and errors for any operating condition.
- Modeling and meteorological data are used for XCO₂ derivation.

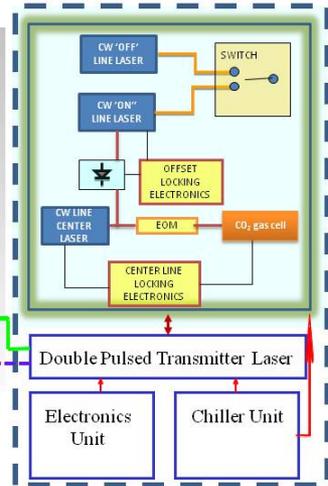
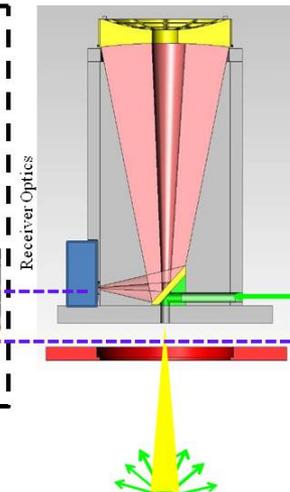
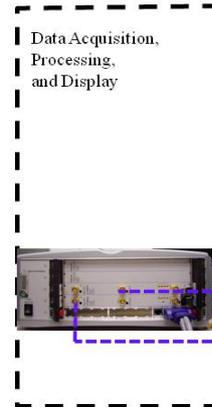


2-micron double pulsed IPDA lidar

Data Acquisition & Display

Telescope & Receiver

Transmitter

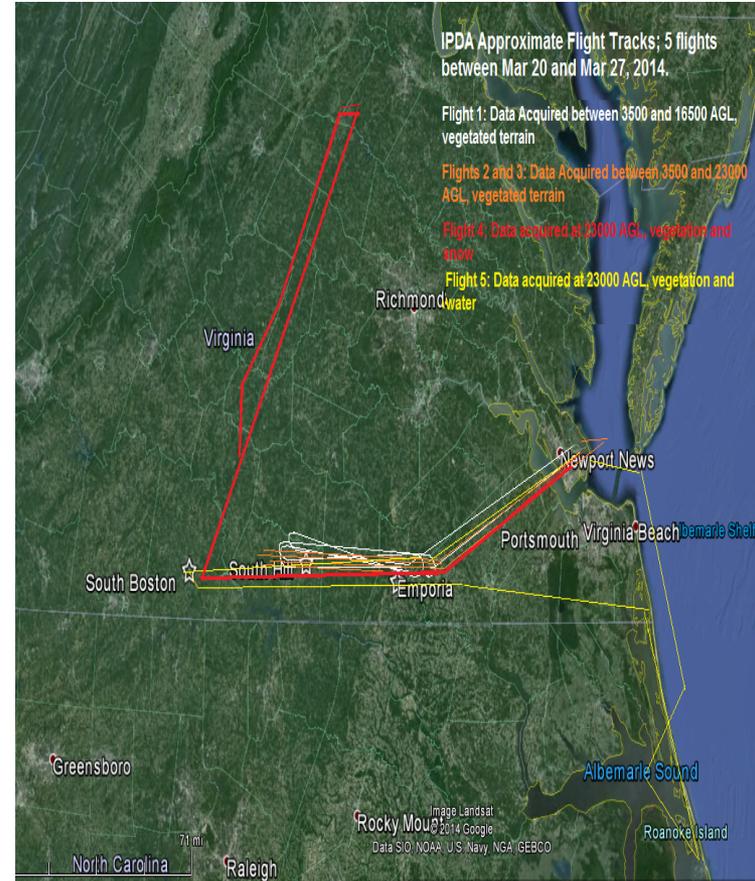




10 Flights in March & April 2014



| Date | Purpose | Duration | Location |
|----------|-------------------------|----------|----------|
| March 20 | Instrument Check Flight | 2.1 hr | VA |
| March 21 | Engineering | 2.7 hr | VA |
| March 24 | Engineering | 3.0 hr | VA |
| March 27 | Early morning | 3.0 hr | VA |
| March 27 | Mid-afternoon | 2.5 hr | VA |
| March 31 | Inland-Sea | 2.5 hr | VA, NC |
| April 02 | Power Station | 2.4 hr | NC |
| April 05 | With NOAA | 3.7 hr | NJ |
| April 06 | Power Station | 3.0 hr | NC |
| April 10 | Late afternoon | 2.3 hr | VA |



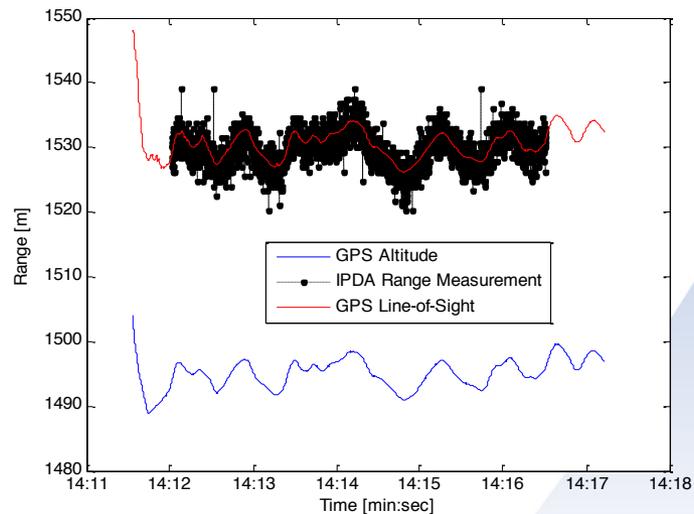
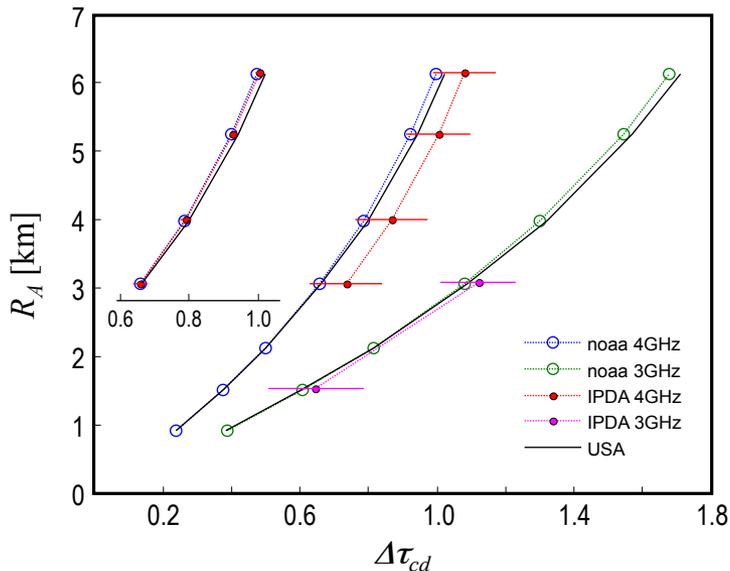
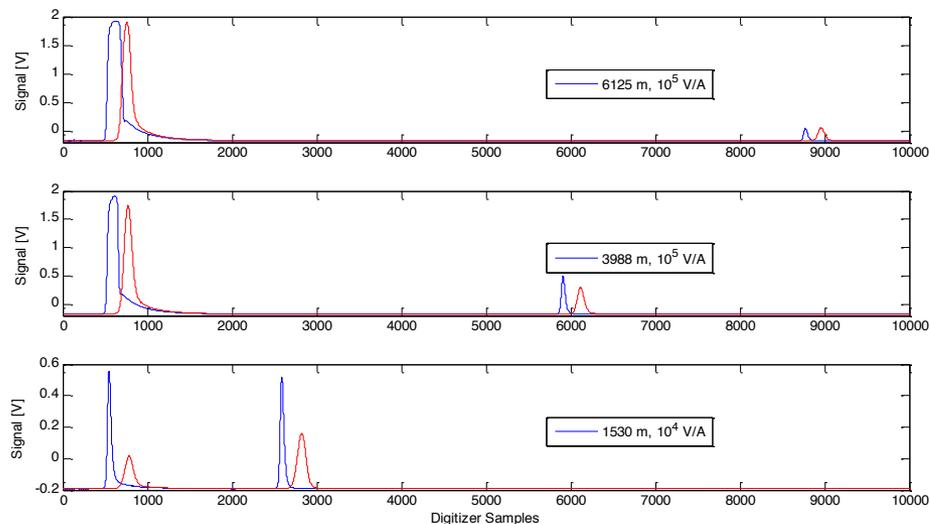
- Aircraft had temperature, pressure, humidity sensors, LiCor and GPS
- Some of the flights were supported by balloon launches



IPDA Airborne Testing: Sample Return Signals

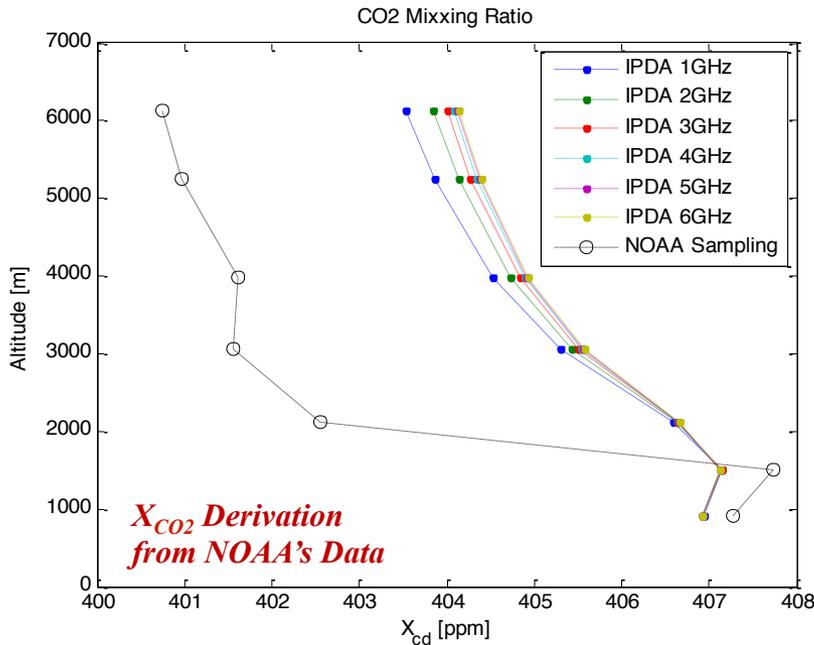


- NOAA air sampling and IPDA lidar optical depth comparison.
- Return signal samples from different altitudes up to 6km.
- IPDA range measurements compared to on-board GPS.





IPDA Airborne Testing: Sample Return Signals



| | <i>NOAA Air Sampling</i> | <i>NOAA Modeled X_{CO_2}</i> | <i>IPDA Measured X_{CO_2}</i> | <i>Sensitivity</i> | <i>Bias</i> | <i>Sensitivity %</i> | <i>Bias %</i> |
|--------|--------------------------|---|--|--------------------|-----------------|----------------------|----------------|
| R_A | X_{cd} | $X_{cd,c}$ | $X_{cd,m}$ | $\delta X_{cd,m}$ | ΔX_{cd} | $\epsilon_{cd,m}$ | $\beta_{cd,m}$ |
| m | ppm | ppm | ppm | ppm | ppm | % | % |
| 6125.6 | 400.75 | 404.08 | 405.22 | 4.15 | 1.14 | 1.02 | 0.28 |
| 5242.6 | 400.96 | 404.34 | 405.84 | 4.74 | 1.50 | 1.17 | 0.37 |
| 3976.7 | 401.61 | 404.89 | 406.60 | 8.69 | 1.71 | 2.14 | 0.42 |
| 3051.9 | 401.55 | 405.54 | 407.10 | 12.83 | 1.56 | 3.15 | 0.38 |

Comparison of the airborne air-sampling measurements, x_{cd} , and weighted average column dry-air volume-mixing ratio of CO_2 , X_{cd} , for 4 GHz on-line wavelength setting at different altitude. X_{cd} are obtained from modeling through NOAA data, $X_{cd,c}$, and the IPDA lidar differential optical depth measurements, $X_{cd,m}$. IPDA X_{cd} measurement standard deviation, $\sigma_{X_{cd,m}}$, offset, ΔX_{cd} ($\Delta X_{cd} = X_{cd,m} - X_{cd,c}$), and measurement error, $\epsilon_{cd,m}$ ($\epsilon_{cd,m} = \sigma_{X_{cd,m}}/X_{cd,m}$), are also listed. As well as the measurement bias ($\beta_{cd,m} = \Delta X_{cd}/X_{cd,m}$)

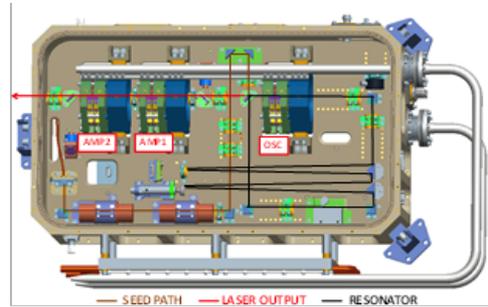


Triple-Pulsed 2- μm Direct Detection Airborne Lidar for Simultaneous and Independent CO_2 and H_2O Column Measurement

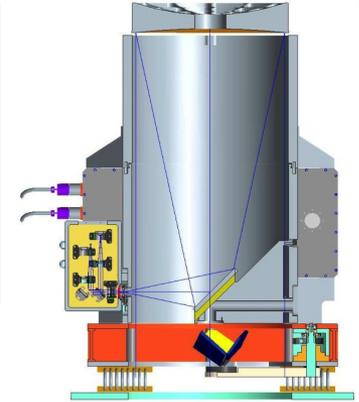


PI: Upendra Singh, NASA LaRC

- Demonstrate and validate simultaneous and independent measurement of the weighted-average column dry-air mixing ratios of carbon dioxide (XCO_2) and water vapor (XH_2O) from an airborne platform
- Design and fabricate a space-qualifiable, fully conductively-cooled, triple-pulsed, 2- μm laser transmitter
- Design and develop wavelength control system for rapid and fine tuning of the three sensing lines of the $\text{CO}_2/\text{H}_2\text{O}$ Integrated Path Differential Absorption (IPDA) lidar
- Integrate laser transmitter with receiver to develop the triple-pulsed 2- μm direct detection IPDA lidar
- Conduct extensive ground and airborne column $\text{CO}_2/\text{H}_2\text{O}$ measurement and validate with *in-situ* sensors



An example of space-qualifiable, fully conductively-cooled 2- μm laser packaging from ACT 11



Integrated 2- μm $\text{CO}_2/\text{H}_2\text{O}$ Airborne packaged IPDA Lidar

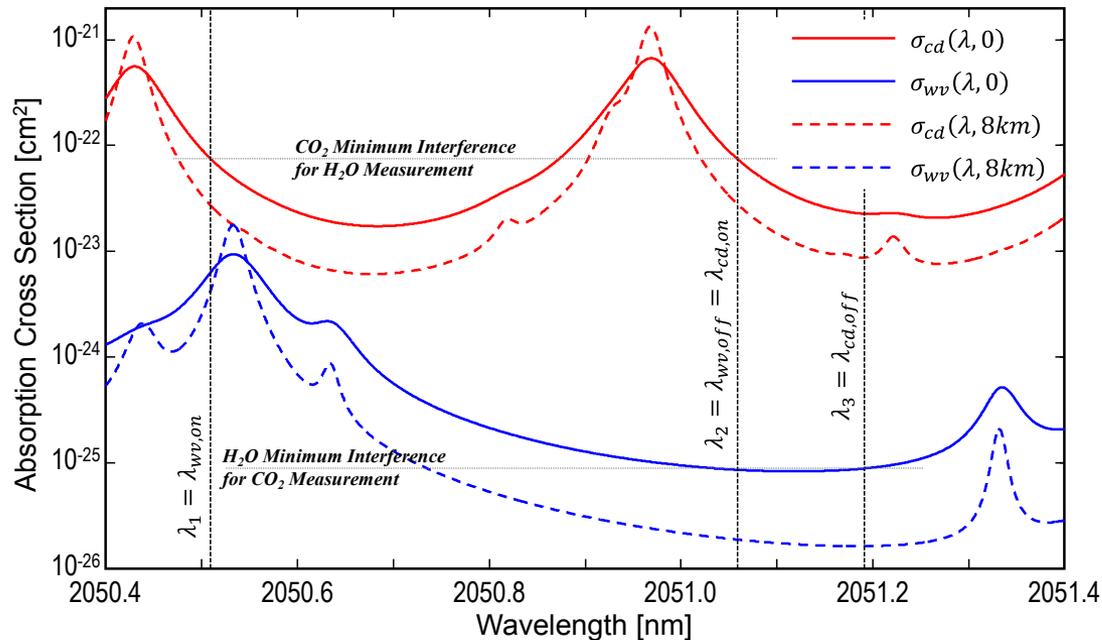
- Team with industry to utilize extensive space-flight laser development expertise to build a unique triple-pulsed 2- μm laser
- Develop a novel, lightweight, frequency agile, wavelength tuning and locking system for triple-pulsed IPDA Operation
- Integrate state-of-the-art laser transmitter to the existing and upgraded receiver system and strengthen for stable flight operation
- Conduct initial ground testing and validation of the IPDA lidar from a mobile lidar trailer
- Conduct extensive ground and airborne column $\text{CO}_2/\text{H}_2\text{O}$ measurement and validate with *in-situ* sensors

Co-Is/Partners: Ken Davis, Penn State Univ; Jirong Yu, Mulugeta Petros, LaRC; Floyd Hovis, Fibertek, Inc.

IIP-13-0048

- Complete the preliminary triple pulse laser optical, mechanical, thermal and structure design and analysis 12/14
 - Complete laser wavelength control unit design 2/15
 - Complete laser transmitter design, and mechanical lidar system design 05/15
 - Complete fabrication and testing of laser transmitter and wavelength control unit 12/15
 - Integrate laser transmitter with wavelength control unit 04/16
 - Complete lidar instrument integration, and ground test 08/16
- TRL_{in} = 3 TRL_{out} = 5**

Methodology

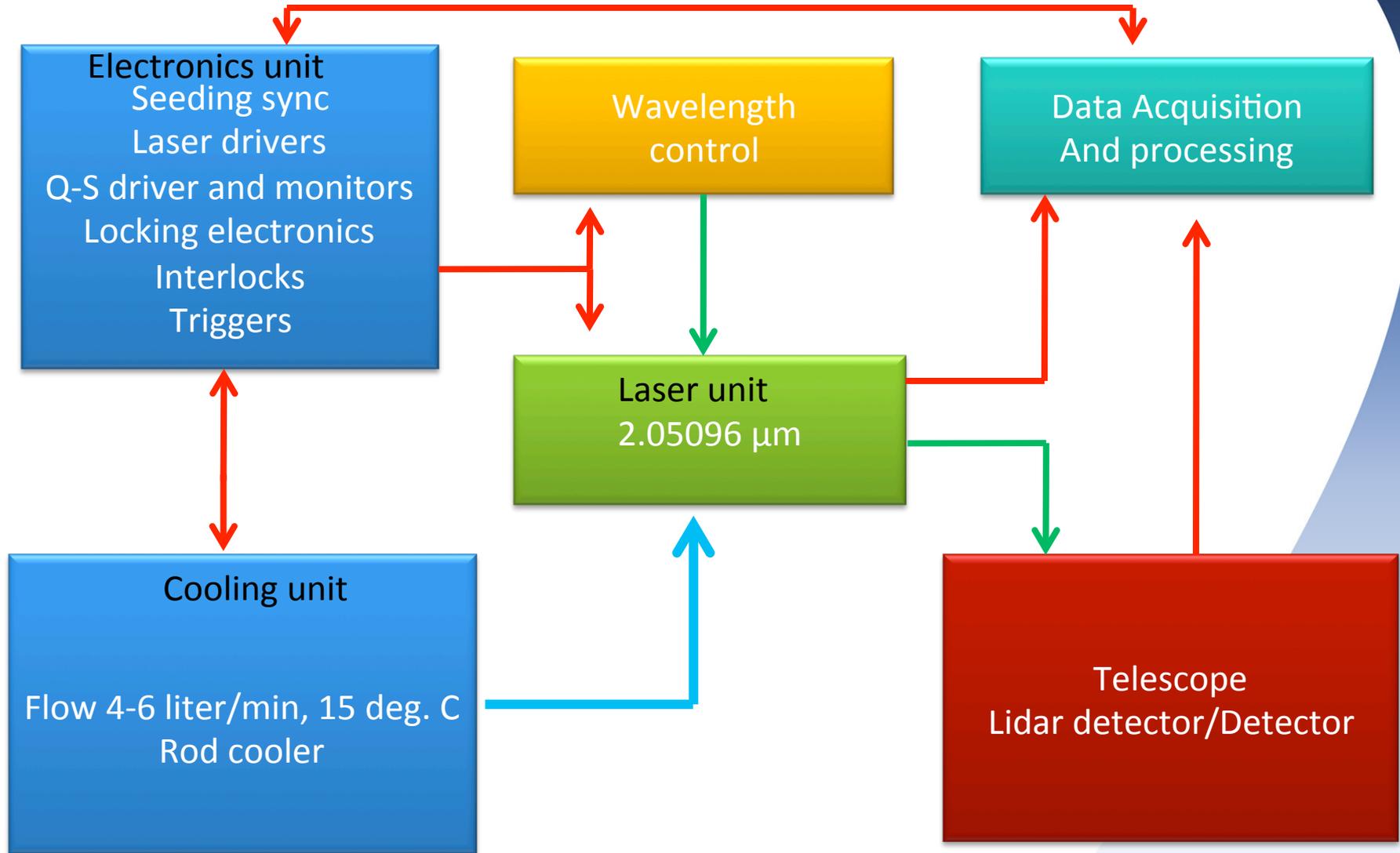


- With proper wavelength selection, independent H₂O & CO₂ measurement is achieved

$$[\mathbf{dOD}_{1,2} @ \mathbf{dOD}_{2,3}] = [\mathbf{ln}(E_{T,2} \cdot E_{M,1} / E_{M,2} \cdot E_{T,1}) @ \mathbf{ln}(E_{T,3} \cdot E_{M,2} / E_{M,3} \cdot E_{T,2})] = [\mathbf{iWF}_{wv \uparrow 1,2} \& \mathbf{0} @ \mathbf{0} \& \mathbf{iWF}_{cd \uparrow 2,3}] \cdot [\mathbf{X}_{wv} @ \mathbf{X}_{cd}]$$



System Block Diagram





Key component development and integration to the existing IPDA lidar system

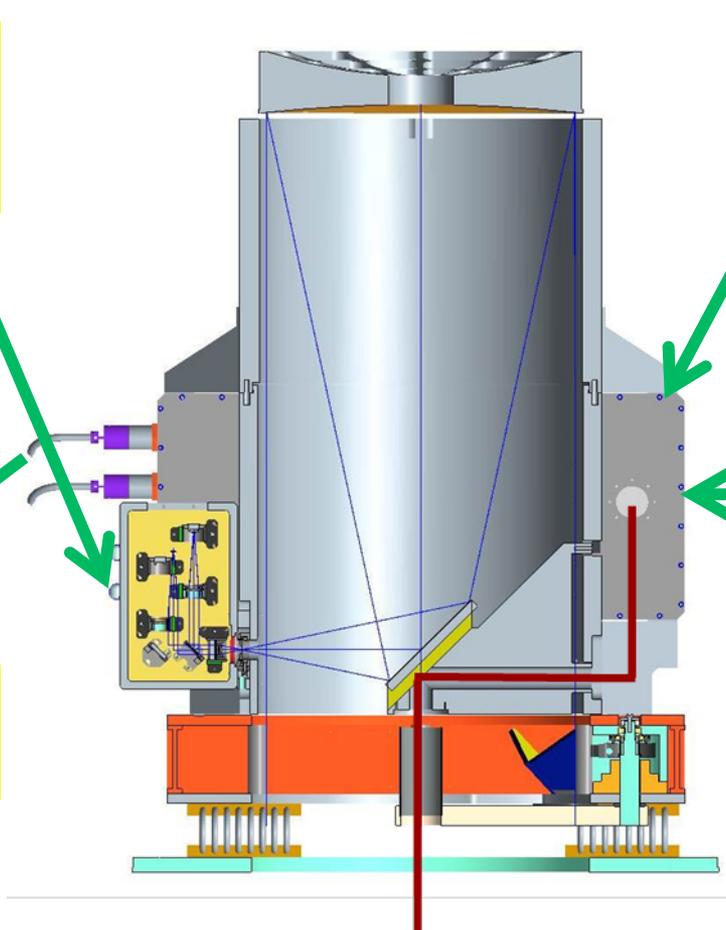


Interchangeable AFT optics and detector assembly

Replace double-pulsed laser by triple-pulsed laser

Triple pulse locked wavelength seeding through new wavelength control and switching assembly

Upgraded digitizer and data acquisition system





Laser Transmitter



OBJECTIVE: 50mJ, 15mJ, 5mJ triple pulsed output laser

- Laser transmitter design status:
Crystal selection , concentration optimization, size optimization
- Crystal growth and fabrication –DONE

- Laser Resonator Design
- 0.5 meter long ring resonator with in an end-pump configuration

- The 792nm pump Beam has to match the 2 μ m beam size with a fixed divergence

- Optical layout and component placement configured.

Major constraints and Rationales:

Thermal Dissipation of high repetition rate of an end pump system

Large Q-Switch

Laser alignment immunity to vibration environment

The divergence has to match the 400 micron telescope field of view

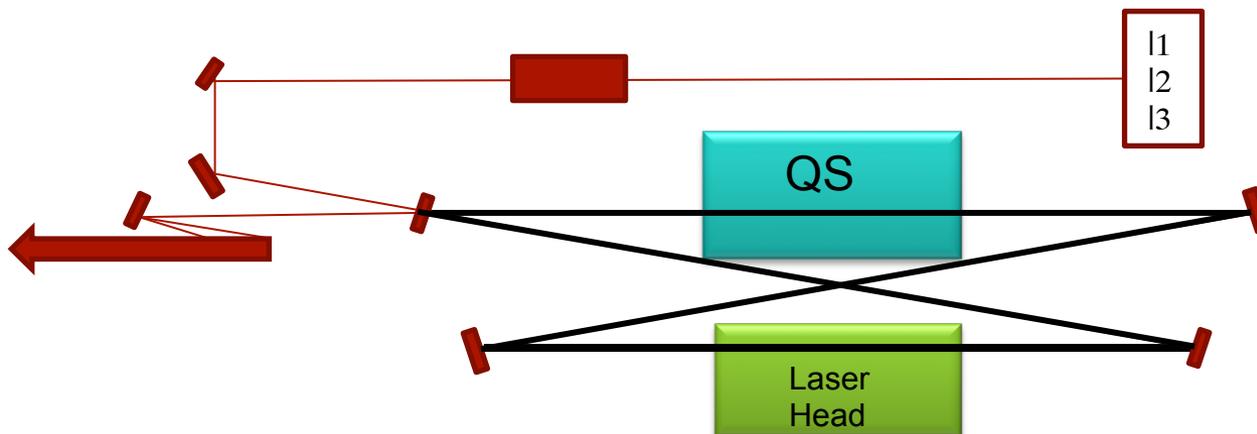
Optical bench and enclosure size to remain the same to match the overall lidar footprint and configuration



Transmitter Design

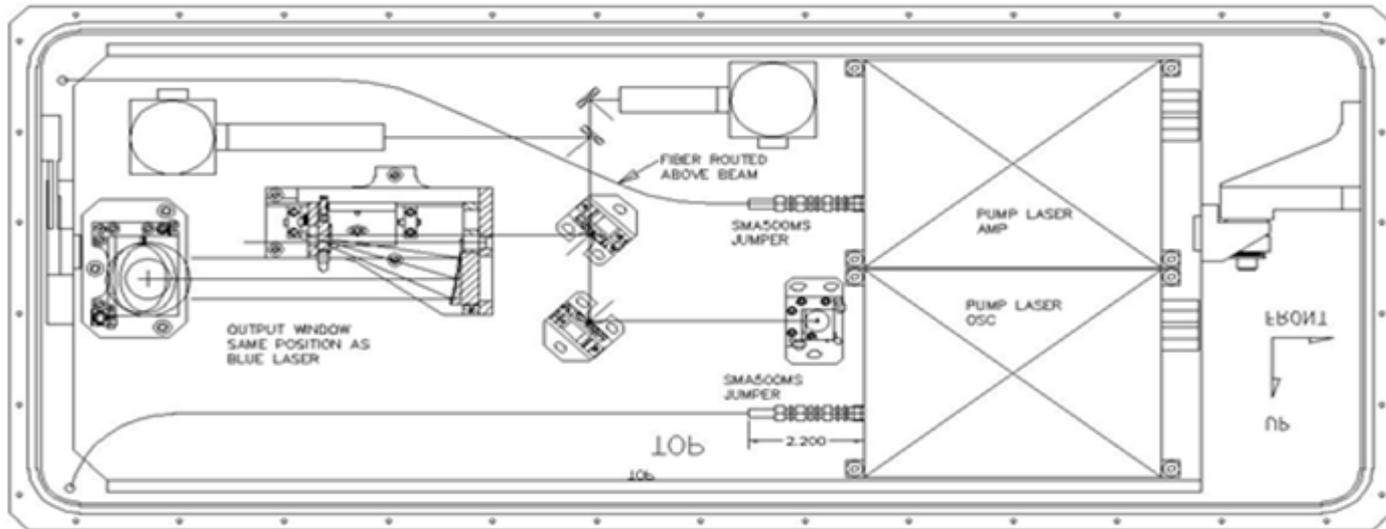


| Item | Parameter | Rationale |
|---------------------|------------------------|-------------------|
| Laser Enclosure | Blue laser 6"x26"x11" | compatibility |
| Laser Configuration | Oscillator + Amplifier | |
| Output Reflectivity | 70% | |
| size | 2x2x15 | Heat extraction |
| Pump configuration | End pump | Higher efficiency |

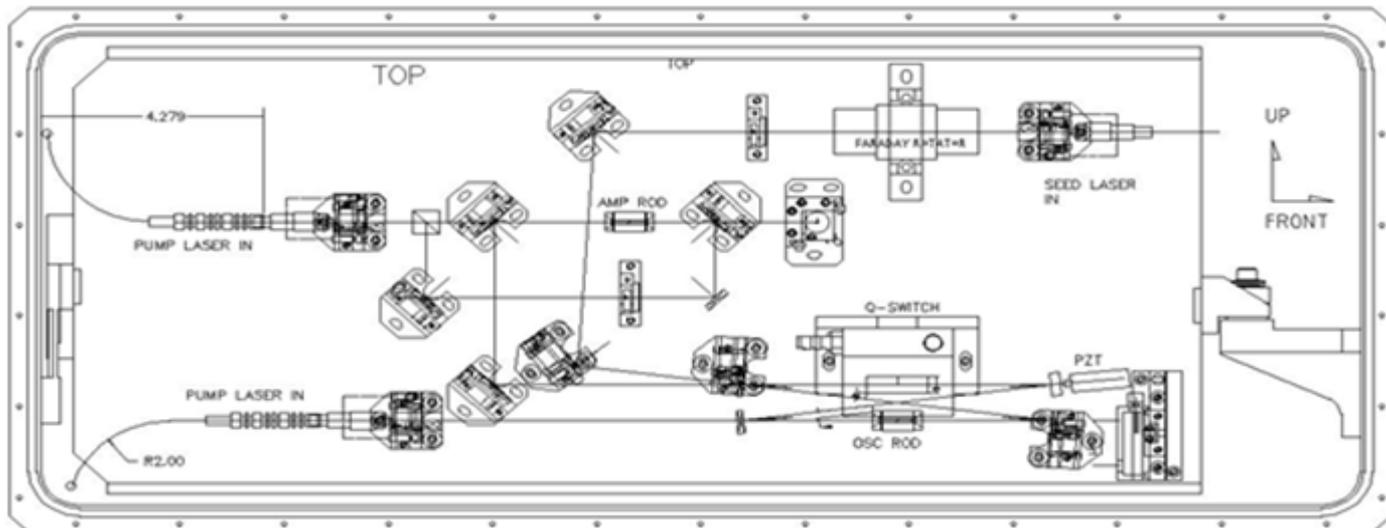




Laser transmitter layout



Back



Front



Wavelength control

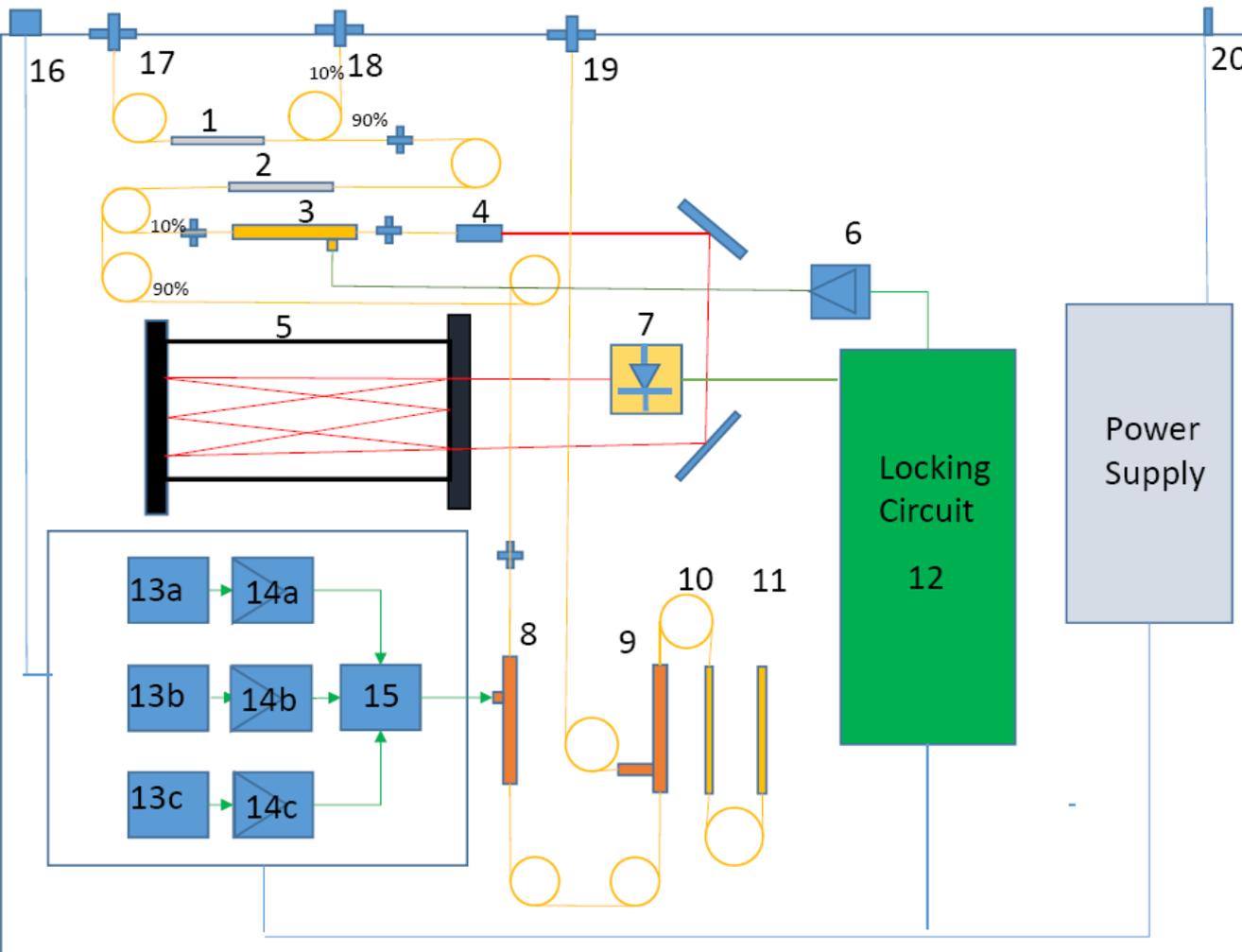


OBJECTIVE: Generate three distinct wavelengths, with respect to a CO₂ absorption center-line locked wavelength.

1. Characterize three different seed laser technologies, Solid-State laser, Fiber laser and Semi-conductor laser and compare the suitability for the system with respect to :
 - a) output power,
 - b) single frequency operation,
 - c) short/long term wavelength stability,
 - d) tuning range,
 - e) tuning speed,
 - f) CO₂ absorption center-line locking suitability
 - g) power consumption and
 - h) volume and weight.
2. The best laser will be locked to the CO₂ line
3. The three wavelengths will be generated as side band using Electro-optic modulators and fiber filters



Wavelength Control, Line Center Locking and Side Line Generation Layout



- 1,2 90/10 Fiber Splitter
- 3 200 MHz EO modulator
- 4 Fiber collimator
- 5 CO2 Cell
- 6 200 MHz RF Amplifier
- 7 Detector assembly
- 8 6-32GHz EO modulator
- 9 Fiber circulator
- 10,11 Fiber Filters
- 12 Online Locking circuit
- 13 RF Oscillators (6.5/16/32GHz)
- 14 RF Amps
- 15 RF switch
- 16 Switch Control (from LTC)
- 17 Seed laser input (fiber)
- 18 Seed laser monitor (fiber)
- 19 Seed laser output (fiber)
- 20 24V Power Input (from LTC)



System specifications



| | | | |
|-------------------------------|-----------------------------------|------------------------------|-------------|
| Transmitter | Wavelength | Energy | Pulse Width |
| | $\lambda_1=2050.5094$ nm | 50 mJ | 30 nsec |
| | $\lambda_2=2051.0590$ nm | 15 mJ | 60 nsec |
| | $\lambda_3=2051.1915$ nm | 5 mJ | 100 nsec |
| | Repetition Rate | 50 Hz | |
| | Beam Quality | 2.0 (M^2) | |
| | Beam Divergence | 150 μ rad | |
| | Laser Line-Width | <i>Transform Limited</i> | |
| | Frequency Control Accuracy | < 1 MHz | |
| Spectral Purity | 99.9% | | |
| Wall-Plug Efficiency | 2% | | |
| Beam Expansion | x10 | | |
| Receiver | Optical Efficiency | 65% | |
| | Telescope Diameter | 40 cm | |
| | Optical Filter Spectral Width | 1.6 nm | |
| | Field-of-View | 300 μ rad | |
| Detector ^{a)} | Operating Temperature | -20°C | |
| | Bias Voltage | 300 mV | |
| | Quantum Efficiency | 67.75% | |
| | Dark Current | 3.7 nA | |
| | Capacitance | 29.3 pF | |
| TIA ^{b)} | Gain | 10^9 V/A | |
| | Bandwidth | 3.5 MHz | |
| | Noise Current Spectral Density | 450 fA/Hz ^{1/2} | |
| | Noise Voltage Spectral Density | 2.8 nV/Hz ^{1/2} | |
| Env. | Background Solar Irradiance | 0.5 mW/m ² .nm.sr | |
| | Surface Reflectivity (vegi/ocean) | 0.09/0.08 | |
| | Aircraft Speed | 100 m/s | |

^{a)} InGaAs pin, Hamamatsu Inc., G5853-203.

^{b)} FEMTO, DHPCA-100



Path to Space



| | <i>Current Technology</i> | <i>Projected Technology</i> | <i>Current Space Requirement^a</i> |
|--------------------------------|-------------------------------|---------------------------------|--|
| Transmitter Technique | Single-Laser Double-Pulse | Single-Laser Triple-Pulse | Two Lasers Single-Pulse |
| Cooling | Liquid | Conductive | — |
| Wavelength (μm) | 2.051 | 2.051 | 2.051 |
| Pulse Energy (mJ) | 100 / 50 | 50 / 15 / 5 | 40 & 5 |
| Repetition Rate (Hz) | 10 | 50 | 50 |
| Power (W) | 1.3 | 3.5 | 2.25 |
| Pulse Width (ns) | 200/350 | 30/100/150 | 50 |
| Optical-Optical Efficiency (%) | 4.0 | 5.0 | 5.0 |
| Wall-Plug Efficiency (%) | 1.4 | 2.1 | > 2.0 |
| Multi-Pulse Delay (μs) | 200 | 200 | 250 ± 25 |
| Transverse Mode | TEM ₀₀ | TEM ₀₀ | TEM ₀₀ |
| Longitudinal Modes | Single Mode | Single Mode | Single Mode |
| Pulse Spectral Width (MHz) | 2.2 | 4-14 | > 60 |
| Beam Quality (M ²) | 2 | 2 | < 2 |
| Freq. Control Accuracy (MHz) | 0.3 | 0.3 | 0.2 |
| Seeding Success Rate | 99 | 99 | 99 |
| Spectral Purity (%) | 99.9 | 99.9 | 99.9 |

^aESA Report of Assessment, SP-1313/1 (2008).



Conclusions



- CO₂ 2- μ m double-pulse IPDA lidar integration and validation at NASA LaRC
- Ground testing demonstrated successful CO₂ measurement as compared to in-situ sensors
- CO₂ airborne measurements agrees with validation models through NOAA air sampling
- IPDA lidar extended capabilities through triple-pulse operation, for simultaneous and independent CO₂ and H₂O measurement.
- Individual pulse wavelength tuning is achieved through advanced wavelength control unit
- LaRC is collaborating with NASA GSFC in developing AFT optics assembly and an advanced HgCdTe e-APD detector system for 2-micron IPDA lidar
- LaRC is also collaborating with JPL in incorporating and integrating a semiconductor seed laser in the wavelength control unit for triple-pulse seeding and switching
- Once realized, triple-pulse IPDA lidar will meet or exceeds current space requirement set by ESA, projected in A-SCOPE.



Questions?

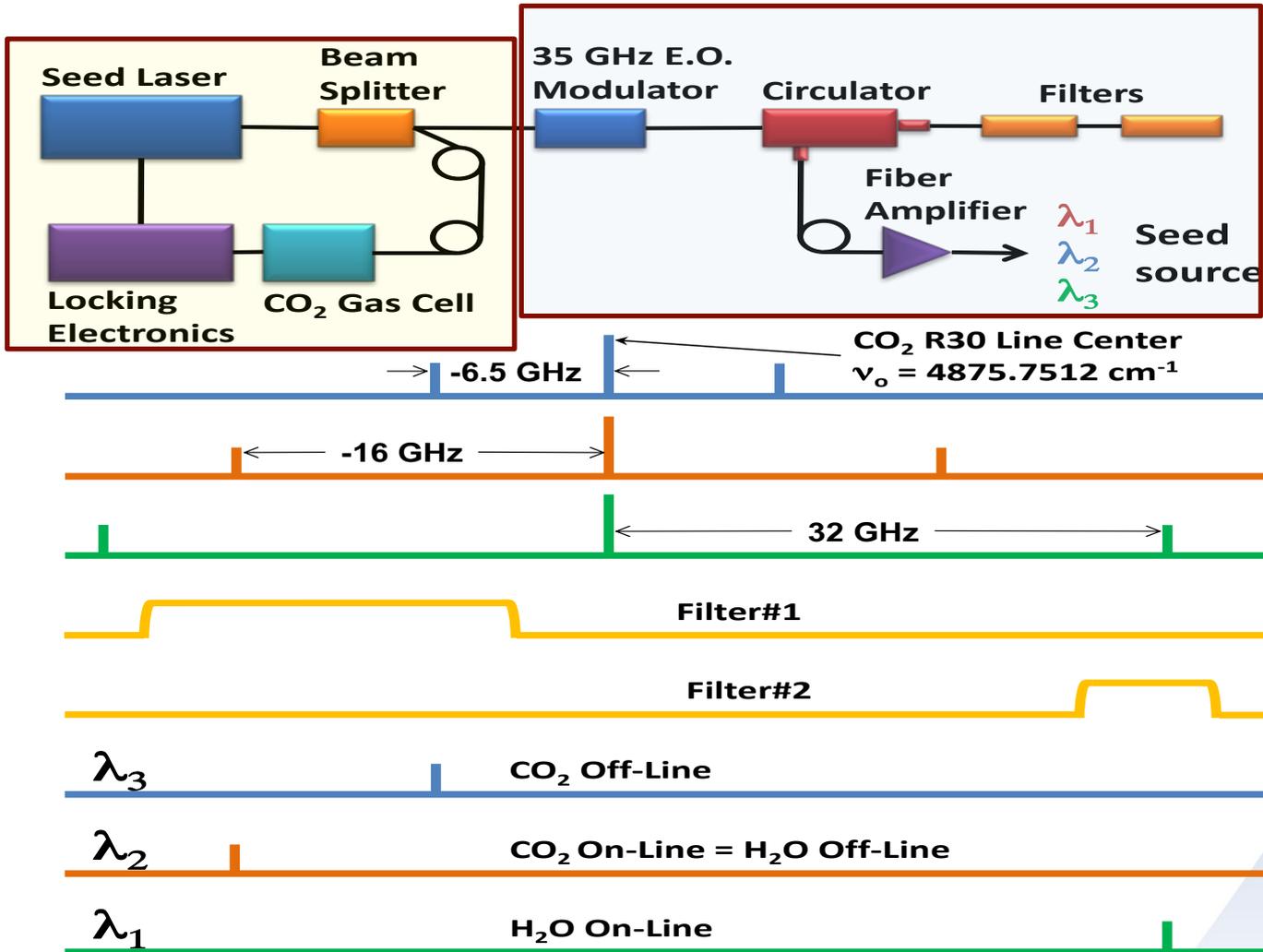




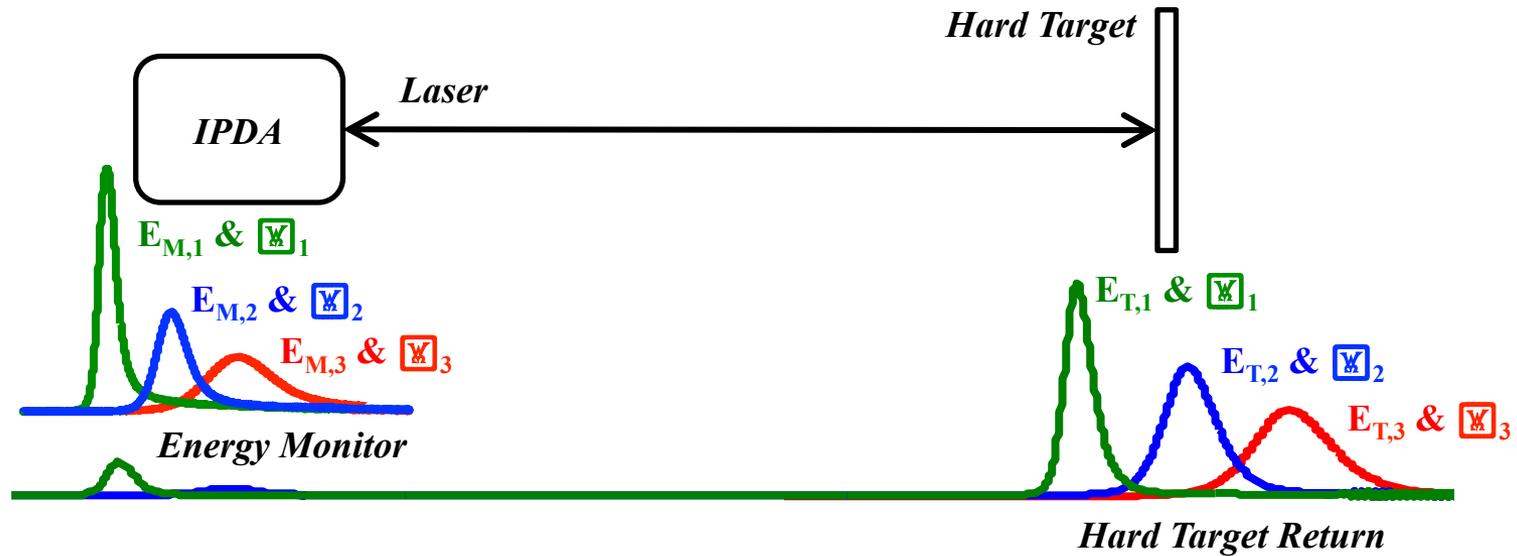
BACKUP



Triple Frequency Generating Scheme



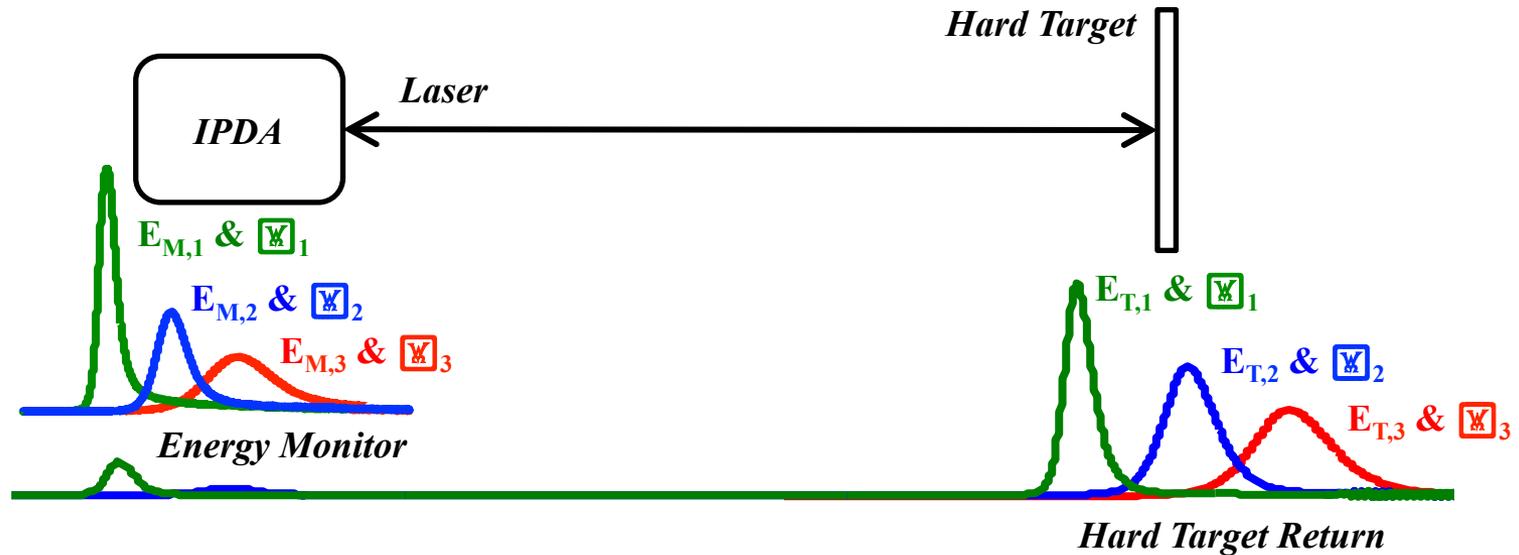
Methodology



- IPDA lidar relies on the Hard Target Lidar Equation

$$E_{\downarrow T} = \eta_{\downarrow r} \cdot \phi_{\downarrow r} \cdot A_{\downarrow t} / \Delta R_{\uparrow 2} \cdot E_{\downarrow M} \cdot \rho / \pi \cdot \exp[-OD(\lambda, R_{\downarrow G})]$$

Methodology



- Triple-pulse tuning defines H_2O & CO_2 differential optical depth, the main IPDA product, simultaneously

$$dOD_{1,2} = \int_0^R \Delta\sigma_{wv1,2} \cdot N_{wv} + \Delta\sigma_{cd1,2} \cdot N_{cd} \cdot dr = \ln(E_{T,2} \cdot E_{M,1})$$

$$dOD_{2,3} = \int_0^R \Delta\sigma_{wv2,3} \cdot N_{wv} + \Delta\sigma_{cd2,3} \cdot N_{cd} \cdot dr = \ln(E_{T,3} \cdot E_{M,2})$$