Executive Summary

As reported in the pages that follow, fiscal year 2013 (FY13) was another productive year for technology development, a year that saw numerous successes in the advancement and infusion of technologies for NASA Earth science.

Activities within the Earth Science Technology Office (ESTO) continue to proceed around guidance provided by the NASA plan for climate-centric observations: “Responding to the Challenge of Climate and Environmental Change: NASA’s Plan for a Climate-Centric Architecture for Earth Observations from Space,” as well as the 2007 Earth Science Decadal Survey - “Earth Science and Applications from Space: National Imperatives for the Next Decade and Beyond” by the National Research Council (NRC) of the National Academies.

Of note this year was the selection of four space validation projects through the new In-Space Validation of Earth Science Technologies, or InVEST, program. This effort is highlighted on pages 7-8. New selections are expected in FY14 under the Instrument Incubator Program (IIP). ESTO’s other primary programs – the Advanced Information Systems Technology (AIST) and Advanced Component Technology (ACT) programs – plan to release solicitations in FY14.

ESTO continues to build upon a strong history of technology development and infusion. In FY13 29% of active ESTO technology projects advanced at least one Technology Readiness Level (TRL). Of the over 600 completed projects in the ESTO portfolio, 35% have already been infused while an additional 47% have a path identified for future infusion in Earth observing missions or commercial applications.

These successes demonstrate the hard work of our principal investigators and their collaborators. Their contributions to technology development ensure a bright future for Earth science innovations and we look forward to another year of continued technology advancement in FY14.

George J. Komar, Program Director
Robert A. Bauer, Deputy Program Director

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About ESTO

As the technology function within NASA’s Earth Science Division, the Earth Science Technology Office (ESTO) performs strategic technology planning and manages the development of a range of advanced technologies for future science measurements and operational requirements.

ESTO employs an open, flexible, science-driven strategy that relies on competition and peer-review to produce the best, cutting-edge technologies for Earth science endeavors.

ESTO also applies a rigorous approach to technology development:
- Planning investments by careful analyses of science requirements
- Selecting and funding technologies through competitive solicitations and partnership opportunities
- Actively managing the progress of funded projects
- Facilitating the infusion of mature technologies into science measurements

The results speak for themselves: a broad portfolio of 701 emerging technologies – 98 of which were active at some point during Fiscal Year 2013 (FY13) – ready to enable or enhance science measurement capabilities as well as an ever-growing number of technology infusion successes.

Student Participation

Student participation in ESTO projects has always been substantial. Since 1998, at least 530 students from over 100 institutions have been involved in ESTO-funded work and as many as 120 graduate-level degrees have been awarded. In 2013 alone, at least 115 students were actively involved with ESTO projects. Roughly half are pursuing doctorates while the remainder are working toward master or undergraduate degrees.

The 98 active projects during FY13 included the combined efforts of more than 450 principal investigators (PIs), co-investigators (Co-Is), and partners from a variety of institutions. The graph at left gives the distribution of these participating institutions.

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Other Federal Labs and Agencies 7%
Industry 15%
Autonomous Community 19%
Jet Propulsion Laboratory 32%
NASA Centers 26%

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With over 600 completed technology investments and an active portfolio during fiscal year 2013 (FY13) of 98 projects, ESTO is driving innovation, enabling future Earth science measurements, and strengthening NASA’s reputation for developing and advancing leading-edge technologies.

To clarify ESTO’s FY13 achievements, what follows are the year’s results tied to NASA’s performance metrics for ESTO:

**GOAL #1:**
Annually advance 25% of currently funded technology projects at least one Technology Readiness Level (TRL).

**FY13 RESULT:**
29% of ESTO technology projects funded during FY13 advanced one or more TRLs over the course of the fiscal year. Five of these projects advanced more than one TRL. See the graph below for yearly comparisons. [Note: because of the periodicity of solicitations and reporting, interannual comparisons are not relevant.]

**GOAL #2:**
Mature two to three technologies to the point where they can be demonstrated in space or in a relevant operational environment.

**FY13 RESULT:**
At least nine ESTO projects achieved infusion into science measurements, airborne campaigns, data systems, or follow-on development activities in FY13. Notable examples include:

- **The Next-Generation Real-Time Geodetic Station Sensor Web for Natural Hazards Research and Applications** project is enhancing a GPS Meteorology network by combining the data from 27 existing GPS stations in Southern California with meteorological data from on-site or nearby meteorological instruments. This additional data has been added to the NOAA Earth System Research Lab’s (ESRL) GPS meteorology station network in Southern California. ESRL is incorporating the data into operational and research products and the resulting water vapor estimates will be used by the National Weather Service. [PI: Yehuda Bock, Scripps Institution of Oceanography, 2011 Advanced Information Systems Technology (AIST-11) award]

- **AirSWOT**, an airborne precursor instrument to the proposed Surface Water Ocean Topography (SWOT) mission, conducted its first science flights in early March 2013 onboard NASA’s King Air B200 over California’s Sacramento River and Delta. The data collected, which could be used to for flood modeling and research into tidal effects on the river basin, were calibrated by USGS water-level sensors and by researchers monitoring the flow by boat. The AirSWOT measurements should mark the first time ocean sea surface height measurements at wavelength scales between 10 and 100 kilometers have been collected. In addition, the AirSWOT data can demonstrate the ability to estimate river discharge and bathymetry, as well as the ability to estimate changes in water storage using SWOT-like measurements. [PI: Ernesto Rodriguez, Jet Propulsion Laboratory, 2010 Instrument Incubator Program (IIP-10) award]
GOAL #3: Enable a new science measurement or significantly improve the performance of an existing technique.

FY13 RESULT: A notable example:

An accurate accounting of Earth’s radiation budget – the amount of energy entering and leaving the Earth’s atmosphere – is key to improving climate prediction. Earth-observing satellites have provided measurements of solar radiances for many years, but recent technology advances could lead to new measurements that are up to ten times more accurate than those currently available.

The HyperSpectral Imager for Climate Science (HySICS), developed by Greg Kopp of the University of Colorado’s Laboratory for Atmospheric and Space Physics (LASP), is a testbed demonstrating improved techniques for future space-based radience studies.

In September 2013, HySICS made its inaugural engineering flight on a high-altitude balloon from Fort Sumner, NM. Balloon flights provide realistic, space-like conditions at a fraction of the cost of launching an instrument into space, so is an ideal means of testing new technologies. From 125,000 feet and above most of Earth’s atmosphere, HySICS, aided by the pointing precision of the NASA’s Wallops Arc Second Pointer (WASP), was able to make measurements of the Earth, Sun, and Moon during both daylight and night hours.

The instrument performed as expected on the eight and a half hour flight, collecting radiance data and periodically calibrating itself with highly accurate radiance scans of the Sun and Moon. The data collected during the engineering flight will be used to improve the instrument over the next year and to further advance the science algorithms used to process the data.

HySICS images scenes onto a single focal plane array at wavelengths between 350 and 2300 nm, covering the extremely important solar and near infrared spectrum containing most of the Sun’s emitted energy. Using only a single array allows HySICS to be smaller and lighter than many imagers, a feature necessary for cost-effective space-based Earth observing missions.

A second balloon flight is planned for September 2014. During that demonstration flight, HySICS should be able to reach its goal of collecting the most accurate solar radience measurements (calibrated to the Sun to better than 0.2 percent radiometric accuracy) that have ever been made of the Earth. Additionally, the HySICS lunar observations should provide the highest accuracy radience measurements ever of the Moon, bringing value to lunar calibrations for other instruments.

Web Feature: Learn more about the ESTO projects that are shaping the future of radiation budget measurements: esto.nasa.gov/news/CLARREO.html
NASA has an ambitious vision for future Earth observations. Emerging technologies are paving the way toward new, and in some cases daring, Earth science measurements. With these promising new capabilities, however, come increased complexity and risk.

While ground and airborne testing of new technologies is common practice, the need for space-validation is critical and ongoing. More than ever, scientists and mission managers must be certain that expensive observing systems will operate as designed in the hazardous environment of space. Once validated in space, technologies are also generally more adoptable by a broad range of potential users beyond the intended mission.

Since 1998, ESTO has sought to facilitate space demonstrations of key technology projects through partnerships, such as with the NASA CubeSat Launch Initiative (CSLI), and follow-on projects, particularly under other NASA programs such as the Earth System Science Pathfinder Program (ESSP). In 2012, ESTO created a nimble, competitive program called In-Space Validation of Earth Science Technologies (InVEST) to retire risk and space-validate technologies. The first InVEST solicitation, which sought small instruments and instrument subsystems relevant to Earth science measurements, targeted the CubeSat* platform. Four (of 24) proposals were selected in April 2013.

What follows is a look at a few ongoing ESTO space validation activities and the current InVEST projects.

**Earliest possible launch:**

<table>
<thead>
<tr>
<th>2014</th>
<th>2015 and beyond</th>
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| COVE: CubeSat On-board processing Validation Experiment  
No earlier than (NET): Dec 2013; Manifest: NROL-39; PI: P. Pingree, JPL  
NET: Dec 2013; Manifest: NROL-39; PI: S. Chien, JPL | GRIFEX: GEO-CAPE Read Out Integrated Circuit (ROIC) In-Flight Performance Experiment  
NET: Oct 2014; Manifest: NASA SMAP; PI: D. Rider, JPL |
| HyperAngular Rainbow Polarimeter (HARP)  
NET: 2015; Manifest: TBD; PI: J. Martins, UMBC  
Radiometer Assessment Using Vertically Aligned Nanotubes (RAVAN)  
NET: 2015; Manifest: TBD; PI: W. Blackwell, MIT Lincoln Lab | Photon Counting Infrared Detector  
NET: 2015; Manifest: TBD; PI: R. Fields, Aerospace Corp.  
Microwave Radiometer Technology Acceleration (MiRaTA) Cubesat. MiRaTA will validate multiple subsystem technologies and new sensing modalities that could enhance the capabilities of future weather and climate sensing architectures. (Credit: W. Blackwell, MIT Lincoln Lab) |

The GEO-CAPE ROIC In-Flight Performance Experiment (GRIFEX) is a 3-unit (3U) CubeSat in development at the University of Michigan that will validate a JPL-developed all-digital in-pixel high frame rate Read-Out Integrated Circuit (ROIC). At right is the GRIFEX ROIC focal plane array. Its high-throughput capacity could enable the proposed NASA GEO-CAPE mission to make hourly measurements of rapidly changing atmospheric chemistry and pollution. (Credit: D. Rider, JPL/Caltech)

The Intelligent Payload Experiment (IPEx) 1 unit (1U) CubeSat (left) was developed by Cal Poly San Luis Obispo and JPL. IPEx will validate autonomous science and product delivery technologies supporting TRL advancement of the Intelligent Payload Module (IPM) targeted for the proposed HypsIRI Earth Science Decadal Survey Mission providing a twenty-times reduction in data volume for low-latency urgent product generation. (Credit: J. Bellardo, Cal Poly)

The Cubesat Flight Demonstration of a Photon Counting Infrared Detector project will demonstrate a new detector with high quantum efficiency and single photon level response at several important remote sensing wavelength detection bands from 0.9 to 4.0 microns. At left, a mechanical drawing of the 3U CubeSat. (Credit: R. Fields, Aerospace Corp)

Although launched as a secondary payload to a larger mission, a CubeSat is a type of nanosatellite often used for scientific research or technology validation. A basic 1 unit (1U) CubeSat measures 10x10x11cm with a mass of up to 1.33 kg. Multiple units can be combined to form 2U, 3U, and even 6U CubeSats. The CubeSat standard was created by California Polytechnic State University and Stanford University following the first launch of 6 CubeSats in 2003.

A CubeSat Primer

**What is InVEST?**

InVEST is NASA’s In-Space Validation of Earth Science Technologies Program. InVEST’s mission is to provide a nimble, competitive platform for validating key Earth science technologies. InVEST seeks small and medium-sized science experiments to work with agencies and science communities to develop and validate new and improved Earth observation technologies. InVEST targets validated technologies for mission integration to a new Earth science mission platform.”
The Instrument Incubator Program (IIP) provides funding for new instrument and observation techniques, from concept development through breadboard and flight demonstrations. Instrument technology development of this scale outside a flight project consistently leads to smaller, less resource-intensive flight instruments. Furthermore, developing and validating these technologies before mission development improves their acceptance and infusion by mission planners and significantly reduces costs and schedule uncertainties.

The IIP included 21 active projects in FY13. In April, IIP released a competitive solicitation seeking new instrument technologies that can enable new types of Earth observations and improve temporal and spatial resolution capabilities for Earth science measurements. Proposals were due in July and awards are expected in early 2014.

Four IIP projects were completed over the past year, all of which advanced at least two Technology Readiness Levels (TRLs) during the period of funding. The FY13 graduates are as follows:

- A New Class of Advanced Accuracy Satellite Instrumentation (AASIS) for the CLARREO Mission, Henry Revercomb, University of Wisconsin - Madison
- Efficient Swath Mapping Laser Altimetry Demonstration (A-LISTS), Anthony Yu, NASA GSFC
- Mineral and Gas Identification (MAGI) Using a High-Performance Thermal Infrared Imaging Spectrometer, Jeffrey Hall, The Aerospace Corporation
- AirSWOT: the SWOT Calibration/Validation Platform, Ernesto Rodriguez, Jet Propulsion Lab

The distribution and transport of aerosols, and their impact on cloud formation and precipitation, can have major effects on Earth’s climate. The Aerosol Cloud Ecosystem (ACE) mission concept calls for a highly-accurate Multispectral SpectroPolarimetric Imager (MSPI) to measure a variety of aerosols in the atmosphere.

AirMSPI, an IIP-funded airborne prototype MSPI, is under development and testing to reduce the risk and cost of developing a space-based instrument. In September 2012, AirMSPI flew on board the NASA’s ER-2 aircraft over the Chips wildfire in northern California and gathered multi-angle, multispectral data in its eight UV/VNIR spectral bands. Three of these bands provide polarimetric information.

At left are images of the Chips wildfire acquired by AirMSPI at a view angle of 60°. The top image is a color composite of intensity at 470, 660, and 865 nm, and the image on the bottom is degree of linear polarization (DOLP) in the same bands. Vegetation appears red in the intensity image due to the high reflectance of leaves in the near-infrared; smoke appears above image center as a bluish haze. The smoke is also visible in the polarization image, with a higher degree of polarization in the near-infrared compared with the other bands, making it appear reddish.

The GEO-TASO instrument, developed under IIP by Principal Investigator James Leitch at Ball Aerospace, is a nadir-viewing UV-Vis spectrometer that measures aerosols and trace gases like ozone and formaldehyde. Originally conceived to demonstrate the air quality measurements called for by the Geostationary Coastal and Air Pollution Events (GEO-CAPE) decadal survey mission concept, GEO-TASO is now also being used as a precursor test-bed for the Tropospheric Emissions: Monitoring of Pollution (TEMPO) mission, the first Earth Venture Instrument (EV-I) mission awarded by NASA.

GEO-TASO had its first test flights in July 2013 on board the Falcon. On route to Ellington Field, GEO-TASO performed additional tests and gathered data over most of the flight path, including target sites for coal power plants near Atlanta, GA. The instrument flew higher and faster than the other DISCOVER-AQ instruments and offered satellite-analog measurements to complement other measurements and to advance mission readiness of the TEMPO retrieval algorithms.

The GEO-TASO instrument, flying onboard the NASA HU-25C Falcon aircraft, has teamed up with the existing DISCOVER-AQ campaign airborne instruments to improve air quality measurements.

DISCOVER-AQ – or Deriving Information on Surface conditions from Column and Vertically Resolved Observations Relevant to Air Quality – is a four-year airborne campaign to demonstrate techniques for pollution measurements near the Earth’s surface. The September 2013 flights out of Ellington Field, TX, were designed to pass over air quality ground measurement sites near Houston, TX. Prior research flights were conducted over Washington D.C. and Baltimore in June/July 2011 and over the San Joaquin Valley, CA, in January/February 2013. An ultimate goal of the campaign is to enable a path to reliable air quality measurements of the lower atmosphere from space.
2013 in Review: **Information Systems**

Advanced information systems play a critical role in the collection, handling, and management of large amounts of Earth science data, in space and on the ground. Advanced computing and transmission concepts that permit the dissemination and management of terabytes of data are essential to NASA’s vision of a unified observational network. ESTO’s Advanced Information Systems Technology (AIST) program employs an end-to-end approach to evolve these critical technologies – from the space segment, where the information pipeline begins, to the end user, where knowledge is advanced.

The AIST program included 27 active investments in FY13, nearly one-third of which have advanced one or more Technology Readiness Levels (TRL) to date. 19 of the active investments were awarded in February 2012, through an AIST solicitation released in 2011.

Four AIST projects completed in FY13, and all advanced two or more TRLs. The graduates are:

- **Onboard Processing and Autonomous Data Acquisition for the DESDynI Mission**, Yunling Lou, Jet Propulsion Lab
- **Autonomous, On-board Processing for Sensor Systems**, Matthew French, University of Southern California Information Sciences Institute

**SPOTLIGHT: New Software to aid Disaster Research and Time-series Imaging**

Natural disasters such as earthquakes, landslides and volcanic eruptions can be studied by looking at displacement in the Earth’s surface both prior to and after a natural disaster occurs. Studying displacement can aid in the preparation for, mitigation of, and recovery from natural hazards, which often result in serious human and economic losses.

Proposed satellite missions to measure displacement – such as the DESDynl (Deformation, Ecosystem Structure and Dynamics of Ice) mission concept – would gather unprecedented amounts of high quality Interferometric Synthetic Aperture Radar (InSAR) data. But current InSAR data processing capabilities are not adequate for the volume and quality of data that DESDynl and similar international missions will be returning. The Repeat Orbit Interferometry Package (ROI_PAC) is the most widely used software for this type of data processing, but it does not easily allow for the incorporation of new and evolving processing techniques.

To bridge this gap, AIST funded the next generation of InSAR processing technology, the InSAR Scientific Computing Environment (ISCE) – an open source, modular software framework capable of supporting the geophysical research communities’ needs.

Led by Principal Investigator Paul Rosen of NASA’s Jet Propulsion Laboratory, ISCE is more accurate and can process data faster (up to 10x faster than ROI_PAC) than the current processing tools. ISCE is also flexible and highly adaptable. The software can be used on common desktop platforms as well as massively parallel supercomputers.

Today, ISCE is used worldwide: to understand the movements of the Earth’s surface; to shed light on hazards such as earthquakes, landslides and volcanic eruptions; and to track glacier and ice sheets and changes in subsurface groundwater. ISCE has also been adopted by UNAVCO, a consortium that facilitates geoscience research using geodesy, and ISCE code is posted on their website for download through a free-for-research agreement.

In FY13, AIST also initiated funding for three projects through a partnership with the NASA Computational Modeling Algorithms And Cyberinfrastructure (CMAC) program. CMAC provides research and development opportunities for new or improved computational modeling algorithms; computing, storage, and networking architectures; programming and analysis environments; data and model interfaces; large scale data management; and rigorous software engineering standards, practice, and tools. The three CMAC awards are:

- **Cloud Enabled Scientific Collaborative Research Environment (CESCRE)**, Mark Powell, Jet Propulsion Lab
- **Collaborative Workbench (CWB) to Accelerate Science Algorithm Development**, Rahul Ramachandran, University of Alabama Huntsville
- **A Community-Driven Workflow Recommendation and Reuse Infrastructure**, Jia Zhang, Carnegie Mellon University, Silicon Valley
2013 in Review: **Components**

The Advanced Component Technology (ACT) program leads research, development, testing, and demonstration of component- and subsystem-level technologies for use in state-of-the-art Earth science instruments and information systems. The ACT program funding is primarily geared toward producing technologies that reduce the risk, cost, size, mass, and development time of future space-borne and airborne missions.

The ACT program often brings component technologies to a maturity level that allows their integration into other technology projects, such as those selected by the Instrument Incubator Program, or for further development by other NASA programs. In other cases, the ACT produces component technologies of sufficient readiness that they can be directly infused into mission development or science campaign activities.

In FY13, the ACT program portfolio held a total of 28 investments. 15 of these were added in FY11 through a competitive solicitation that received 96 proposals. The next ACT solicitation is expected in FY14.


**SPOTLIGHT: Deployable Mast Design Chosen for the SWOT Mission**

In July 2013, an ACT-funded space-deployable mast for radar antennas was selected as the baseline antenna mast design for the Surface Water and Ocean Topography (SWOT) mission.

NASA’s SWOT mission, targeted to launch in 2020, will provide critical information about Earth’s oceans, ocean circulation, fresh water storage, and river discharge. The SWOT mission concept calls for a dual-antenna Ka-band radar interferometer instrument, known as KaRIn, that will map the height of water globally along two 50 km wide swaths. The KaRIn antennas, which will be separated by 10 meters on either side of the SWOT spacecraft, will need to be precisely deployable in order to meet demanding pointing requirements.

Greg Agnes of the Jet Propulsion Lab is leading a two-year ACT task to design and prototype the lightweight, precision-deployable hinged masts for KaRIn. To date he has built and tested a full-scale hinge/latch mechanism. Testing on the complete, full-scale, deployable prototype mast will begin in Fall 2013.

**Web Feature:**

Watch an animation of the proposed SWOT deployment at ESTO’s YouTube channel: youtube.com/user/NASAESTO

Above: An artist’s rendering of the SWOT spacecraft (Credit: J. Howard, JPL/Caltech) and a metallic mock up of the 180-degree, mid-span hinge in the closed position. In this configuration, the mast sections would extend vertically from the top of the closed hinge and into the table. (Credit: G. Agnes, JPL/Caltech)

Above: A series of mechanical drawings depict the operation of the mid-boom, 180-degree hinge. (Credit: G. Agnes, JPL/Caltech)
Future Challenges

For more than a decade, ESTO investments have anticipated science requirements to enable many new measurements and capabilities. ESTO technologies were already underway to address the priorities outlined by the 2007 NRC Decadal Survey for Earth science, the 2010 NASA Science Plan, and NASA’s 2010 plan for a climate architecture: "Responding to the Challenge of Climate and Environmental Change." This is a testament to ESTO’s broad-based, inclusive strategic planning. It is also the result of a commitment to monitor, and match investments, to the evolving needs of Earth science through engagement with the science community, development of technology requirements, and long-term investment planning.

Looking ahead, there are four broad technology areas that have the potential to expand, support, and even revolutionize the future of Earth science:

**Active Remote Sensing Technologies** to enable new measurements of the atmosphere, cryosphere, and Earth’s surface.
- Atmospheric chemistry using lidar vertical profiles
- Ice cap, glacier, sea ice, and snow characterization using radar and lidar
- Tropospheric vector winds using lidar
- Precipitation and cloud measurements using radar

**Large Deployable Apertures** for future weather, climate, and natural hazard measurements.
- Temperature, water vapor, and precipitation from geostationary orbit
- Soil moisture and sea surface salinity using L-band radar
- Surface deformation and vegetation using radar

**Intelligent Distributed Systems** using advanced communication, on-board processors, autonomous network control, data compression, and high density storage.
- Long-term weather and climate prediction linking observations to models
- Interconnected sensor webs that share information to enhance observations

**Information Knowledge Capture** through 3D visualization, holographic memory, and seamlessly linked models.
- Intelligent data fusion to merge multi-mission data
- Discovery tools to extract knowledge from large and complex data sets
- Real time science processing, archiving, and distribution of user products

Additional Resources

ESTO launched a new website in 2012 that contains several online resources as well as additional information on ESTO’s approach to technology development, programs, validation activities, and strategic planning:

- General information on current and past programs, studies, solicitations, TRL definitions, events, and more.
- A fully-searchable database of ESTO investments
- Timely features on ESTO technology projects, progress, achievements, and infusions
- Social media and news listserver options to stay connected:
  - Twitter: @NASAESTO
  - YouTube: NASAESTO

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