Executive Summary

As reported in the pages that follow, fiscal year 2012 (FY12) has been a productive year that saw numerous successes in the advancement and infusion of technologies for NASA Earth science.

Activities within the Earth Science Technology Office (ESTO) continue to center on guidance provided by the first Earth Science Decadal Survey – “Earth Science and Applications from Space: National Imperatives for the Next Decade and Beyond” by the National Research Council (NRC) of the National Academies – and by the NASA plan for climate-centric observations: “Responding to the Challenge of Climate and Environmental Change: NASA’s Plan for a Climate-Centric Architecture for Earth Observations from Space.” Of note, this year saw significant technology demonstrations and infusions on a variety of airborne platforms. We have highlighted these flights on pages 7-8.

Eighteen new investments were added to the ESTO portfolio in FY12 through solicitations under the Advanced Information Systems Technology program. In addition to ESTO’s existing programs, a new pilot program, In-Space Validation of Earth Science Technologies, or InVEST, was created during FY12 to provide validation opportunities for space-based emerging technologies. The first InVEST solicitation was released in September of 2012.

ESTO also continues to build upon a strong history of technology development and infusion. In FY12 40% of active ESTO technology projects advanced at least one Technology Readiness Level (TRL). Of the nearly 600 completed projects in the ESTO portfolio, 37% have already been infused while an additional 43% have a path identified for future infusion in Earth observing missions or commercial applications.

These successes demonstrate the hard work of our principal investigators and their collaborators. Their contributions to technology development ensure a bright future for Earth science innovations. We welcome another year of continued technology advancement in FY13.

George J. Komar, Program Director
Robert A. Bauer, Deputy Program Director

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About ESTO

As the technology function within NASA’s Earth Science Division, the Earth Science Technology Office (ESTO) performs strategic technology planning and manages the development of a range of advanced technologies for future science measurements and operational requirements.

ESTO employs an open, flexible, science-driven strategy that relies on competition and peer-review to produce the best, cutting-edge technologies for Earth science endeavors.

ESTO also applies a rigorous approach to technology development:

- Planning investments by careful analyses of science requirements
- Selecting and funding technologies through competitive solicitations and partnership opportunities
- Actively managing the progress of funded projects
- Facilitating the infusion of mature technologies into science measurements

The results speak for themselves: a broad portfolio of nearly 700 emerging technologies – 138 of which were active at some point during Fiscal Year 2012 (FY12) – ready to enable or enhance science measurement capabilities as well as an ever-growing number of technology infusion successes.

Student Participation

Student participation in ESTO projects has always been substantial. Since 1998, at least 430 students have been involved in ESTO-funded work and as many as 120 graduate-level degrees have been awarded. In 2012 alone, 118 students were actively involved with ESTO projects. Roughly half are pursuing doctorates while the remainder are working toward master or undergraduate degrees.
With nearly 600 completed technology investments and an active portfolio during fiscal year 2012 (FY12) of 138 projects, ESTO is driving innovation, enabling future Earth science measurements, and strengthening NASA’s reputation for developing and advancing leading-edge technologies.

To clarify ESTO’s FY12 achievements, what follows are the year’s results tied to NASA’s performance metrics for ESTO:

**GOAL #1:**
Annually advance 25% of currently funded technology projects at least one Technology Readiness Level (TRL).

**FY12 RESULT:**
40% of ESTO technology projects funded during FY12 advanced one or more TRLs over the course of the fiscal year. Nearly half of these (17% of the FY12 projects) advanced more than one TRL. See the graph below for yearly comparisons.

[Note: because of the variable periodicity of solicitations and reporting, multi-year trends are not meaningful.]

**FY12 RESULT:**
At least six ESTO projects achieved infusion into space missions or airborne campaigns in FY12. Notable examples include:

- The Airborne Scanning Microwave Limb Sounder (A-SMLS), a prototype next-generation MLS for measuring trace species in the upper troposphere, completed its first test flights on July 3 and 5, 2012. Flying on the NASA WB-57 aircraft near the coast of Houston, TX, A-SMLS successfully acquired ozone measurements and can be configured for a variety of other trace species. (PI: Paul Stek, JPL, 2007 Instrument Incubator Program (IIP-07) award)

- The Hyperspectral Infrared Imager (HyspIRI) mission concept proposes to study the world’s ecosystems and provide information on natural disasters such as volcanoes, wildfires and drought. Two ESTO-funded projects have developed and demonstrated airborne precursor instruments for HyspIRI-like measurements:
  - The Hyperspectral Thermal Emission Spectrometer (HyTES) – which aims to provide high spatial and spectral resolution thermal land imaging data – was integrated and test flown on a Twin Otter over Cuprite, Nevada, in July 2012. As an airborne instrument, HyTES can be used to study Earth’s ecosystems, natural disasters, and changes in land surface as well as test and refine requirements for a future spaceborne thermal infrared imager. (PI: Simon Hook, JPL, IIP-07)
  - Another thermal infrared imager prototype – an imaging spectrometer for Mineral and Gas Identification (MAGI) – has also been designed as an airborne demonstrator of the planned HyspIRI imager. MAGI, which can collect 32 spectral channels of image data between 7 and 12.7 microns while weighing only 1 pound and measuring 4.6 inches long, conducted test flights on a Twin Otter aircraft in October of 2011. (PI: Jeffrey Hall, Aerospace Corporation, IIP-07)
2012 Metrics (continued)

GOAL #3:
Enable a new science measurement or significantly improve the performance of an existing technique.

FY12 RESULT:
A notable example:

- An accurate accounting of the amount of energy entering and leaving the Earth’s atmosphere – the planet’s radiation budget – is key to improving climate prediction and establishing a reliable benchmark for Earth’s current climate. Climate is affected by the long-term balance between the solar irradiance absorbed by Earth’s land, atmosphere, and oceans and that which is reflected back into space. To acquire the necessary measurements, future NASA missions, such as the proposed Climate Absolute Radiance and Refractivity Observatory (CLARREO), will require shortwave radiometric accuracies that are nearly ten times better than current on-orbit capabilities.

Initiated in 2008, an ESTO project titled “Hyperspectral Imager to Meet CLARREO Goals of High Absolute Accuracy and On-Orbit SI Traceability” has prototyped, characterized, and demonstrated a hyperspectral imager containing a new, novel solar cross-calibration system to meet the accuracy goals. Essentially, the imager will compare the relative irradiances from the Sun and the Earth with high accuracy. The project additionally designed and built an engineering model using flight-like mechanisms and optics that underwent vibration, thermal/vacuum, and lifetime tests to demonstrate the ruggedness and viability of the system as a deployable instrument.

(PI: Greg Kopp, University of Colorado, Laboratory for Atmospheric and Space Physics)

WEB FEATURE: Over 10 Years of Investments Shaping Future Radiation Budget Measurements

For more than a decade, numerous ESTO projects have built and validated early versions of the instruments and components needed for Earth radiation budget measurements. In many ways, these early investments enabled the designation of CLARREO as a mission concept. Learn more at:

esto.nasa.gov/news/CLARREO.html

Testing the hyperspectral imager at the National Institute of Standards and Technology (image: G. Kopp)

A prototype hyperspectral imager to demonstrate a novel new solar cross-calibration method for improving on-orbit radiometric accuracies (image: G. Kopp)
Since 1998, more than 70 ESTO technologies have been demonstrated onboard airplanes, UAVs (Uninhabited Aerial Vehicles) or high-altitude balloons, logging hundreds of flight hours in aggregate. As illustrated in the timeline below, FY12 was a banner year for airborne technologies.

At least 17 current projects – ESTO projects as well as partnerships with NASA’s Airborne Science and Earth Science Research and Analysis programs – were demonstrated on airborne platforms or flew as part of airborne science campaigns. Nine of these projects flew for the first time in FY12.

The Airborne Glacier and Land Ice Surface Topography Interferometer (GLISTIN-A) is a new, modified version of a swath-mapping airborne sensor originally developed to collect data for high-resolution ice-surface topography maps. GLISTIN-A features higher peak transmit power and its antenna can transmit and receive to improve the vertical accuracy of data. At left is first-look, uncalibrated elevation data over the Rosamond Lake, CA, from the August engineering flights. (image: D. Moller)

The HSRL-2 project, which is funded by the NASA Airborne Instrument Technology Transition (AITT) program and managed by ESTO, is also supporting the Two-Column Aerosol Project (TCAP), a 12-month Department of Energy research campaign. TCAP is studying aerosols to learn how they scatter and absorb sunlight and how they affect cloud formation. HSRL-2 measures aerosol backscatter and depolarization to provide the vertical distribution of aerosols within the atmosphere. (image: NASA)
The Instrument Incubator Program (IIP) provides funding for new instrument and observation techniques, from concept development through breadboard and flight demonstrations. Instrument technology development of this scale outside a flight project consistently leads to smaller, less resource-intensive flight instruments. Furthermore, developing and validating these technologies before mission development improves their acceptance and infusion by mission planners and significantly reduces costs and schedule uncertainties.

The IIP included 35 active projects in FY12, 16 of which were added in December 2010 through a competitive solicitation that sought instrument technologies to enable and achieve the mission concepts outlined in the NRC Decadal Survey as well as innovative instrument approaches that support other compelling Earth Science measurements. The next IIP solicitation is expected to be released in 2013. 14 projects were completed over the past year, 12 of which advanced at least one Technology Readiness Level (TRL) during the period of funding. The FY12 graduates are as follows:

- **Development of Lightweight, 3-D Integrated X-Band Radar Antenna Using SiGe Chips and RF MEMS Circuits For Snow Accumulation Measurements**, I. Papapolymerou, Georgia Institute of Technology
- **Calibrated Observations of Radiance Spectra from the Atmosphere in the far-Infrared - CORSAIR**, M. Mlynczak, NASA LaRC
- **Novel Laser Approach for Precision CO2 Column Measurement**, W. Heaps, NASA GSFC
- **GeoSTAR Technology Development and Risk Reduction for PATH**, B. Lamberigtsen, JPL
- **SWIR Aerosol/Cloud Polarimetric Imager, D. Diner**, JPL
- **Development of an Ocean Radiometer for Carbon Assessment (ORCA) Prototype**, S. Sander, JPL
- **A Hyperspectral Imager to Meet CLARREO Goals of High Absolute Accuracy and On-Orbit SI Traceability**, G. Kopp, University of Colorado / Laboratory for Atmospheric and Space Physics (see p. 5 for more on this project)
- **Ka-band SAR Interferometry Studies for the SWOT mission**, L. Fu, JPL
- **Panchromatic Fourier Transform Spectrometer (PanFTS) Instrument for the Geostationary Coastal and Air Pollution Events (GEO-CAPE) Mission**, S. Sander, JPL
- **Infrared Correlation Radiometer Fabrication and Characterization as Applied to the GEO-CAPE Decadal Survey Mission**, D. Neil, NASA LaRC
- **Laser Ranging Frequency Stabilization Subsystem for GRACE II**, W. Folkner, JPL
- **Development and Demonstration of an Optical Autocovariance Direct Detection Wind Lidar (OAWL)**, S. Tucker, Ball Aerospace & Technologies Corporation
- **A Scanning Microwave Limb Sounder for Studying Fast Processes in the Troposphere**, P. Stek, JPL

The twin satellites of NASA’s Gravity Recovery and Climate Experiment (GRACE) mission, developed in partnership with Germany and launched in 2002, have provided a dramatic view of Earth’s variable gravity and mass distribution and spurred new insights in a variety of scientific fields. From hydrology and geophysics to ice mass estimates and global sea levels, GRACE has produced discoveries with far-reaching impacts on society.

The planned GRACE Follow-On mission, with a launch as early as 2017, aims to demonstrate an advanced laser instrument that could improve the accuracy of inter-spacecraft ranging by tenfold or more and lead to enhanced gravity measurements. The instrument technology was developed at the Jet Propulsion Laboratory, in collaboration with Ball Aerospace, with IIP funding.

As the existing GRACE satellites orbit Earth, they encounter subtle changes in gravitational pull. These variations produce slight movements in the spacecraft orbits that can be detected by careful range measurements between the satellites. GRACE uses a microwave ranging instrument, referenced to a stable quartz clock and coupled with precise GPS tracking, to measure these minute changes to a few microns over the approximately 220 km distance separating the satellites.

**GRACE Follow-On will use the same overall technique – carefully measuring the variations between two spacecraft – but the laser approach will be much more accurate. The advantage is due largely to the shorter wavelength of the laser, compared to the microwave wavelength, referenced to a thermally isolated optical cavity, which is more stable than the quartz clock.**

The laser demonstration on GRACE Follow-On will be a partnership between NASA, which will provide the laser, cavity assembly, and ranging processor, and the German Space Program, which will provide the measurement optics and steering mirror assembly along with instrument integration and testing.
Advanced information systems play a critical role in the collection, handling, and management of large amounts of Earth science data, in space and on the ground. Advanced computing and transmission concepts that permit the dissemination and management of terabytes of data are essential to NASA’s vision of a unified observational network. ESTO’s Advanced Information Systems Technology (AIST) program employs an end-to-end approach to evolve these critical technologies – from the space segment, where the information pipeline begins, to the end user, where knowledge is advanced.

The AIST program included 47 active investments in FY12, one-third of which have already advanced one or more Technology Readiness Levels (TRL) to date. 18 of the 47 investments were awarded in FY12 in Review: Information Systems

Analysis Package (CAMViS-MAP) For Tropical Cyclone Climate Study, B. Shen, University of Maryland
Unified Simulator for Earth Remote Sensing (USERS), S. Tanelli, JPL
Empowering Cloud Resolving Models Through GPU and Asynchronous IO, W. Tao, NASA CSFC

22 projects graduated from AIST funding in FY12, all of which advanced at least one TRL during the course of funding. The FY12 graduates are:

- **Geostatistical Data Fusion for Remote Sensing Applications**, A. Braverman, JPL
- **Instrument Simulator Suite for Atmospheric Remote Sensing**, S. Tanelli, JPL
- **QuakeSim: Increasing Accessibility and Utility of Earthquake Fault Data**, A. Donnellan, JPL
- **Network Design and Operation for Near Real-Time Validation of Space-Borne Soil Moisture Measurements**, M. Moghaddam, University of Michigan
- **OSCAR: Online Services for Correcting Atmosphere in Radars**, P. von Allmen, JPL
- **InSAR Computing Environment**, P. Rosen, JPL
- **On-Board Processing to Optimize the MSPI Imaging System for ACE**, P. Pingree, JPL
- **Coupling NASA Advanced Multi-scale Modeling and Concurrent Visualization Systems for Improving Predictions of Tropical High-impact Weather**, B. Shen, University of Maryland
- **Anomaly Detection and Analysis Framework for Terrestrial Hydrology Using the NASA Land Information System (LIS)**, C. Peters-Lidard, NASA CSFC
- **Sensor Web 3G to Provide Cost-Effective Customized Data Products**, D. Manlai, NASA GSFC
- **...FY12 Graduates continue on page 12**

Related Resources:

- **Web Feature:** Watch a video about RTIMS at ESTO’s YouTube channel: [youtube.com/user/NASAESTO](https://youtube.com/user/NASAESTO)
- **Spotlight:** Memory Module Enables Research on Mars
The Advanced Component Technology (ACT) program leads research, development, testing, and demonstration of component- and subsystem-level technologies for use in state-of-the-art Earth science instruments and information systems. ACT program funding is primarily geared toward producing technologies that reduce the risk, cost, size, mass, and development time of future space-borne and airborne missions.

The ACT program often brings component technologies to a maturity level that allows their integration into other technology projects, such as those selected by the Instrument Incubator Program, or for further development by other programs. In other cases, the ACT produces component technologies of sufficient readiness that they can be directly infused into mission development or science campaign activities.

In FY12, the ACT program portfolio held a total of 28 investments. 15 of these were added in FY11 through a competitive solicitation that received 96 proposals.

**SPOTLIGHT:** Several Component Technologies to Enable SWOT Decadal Survey Mission

The Surface Water Ocean Topography (SWOT) mission concept is intended to produce a better understanding of the world’s oceans and terrestrial surface waters at a much higher spatial resolution than is currently available. Using wide-swath radar altimetry measurements, SWOT could make the first global survey of Earth’s surface water, observe the fine details of the ocean’s surface topography, and measure how water bodies change over time.

Since 1998, numerous ESTO-funded projects have steadily advanced the technologies needed for a SWOT-like mission. The facing page includes a few recent ACT investments that may further enable the SWOT mission.

An artist’s rendering of the SWOT spacecraft. (image: J. Howard) (images on opposite page courtesy listed principal investigators.)

The design for SWOT includes two deployable, 5 meter, Ka-band reflectarray antennas – part of the Ka-band Radar Interferometer (KaRIn) proposed for SWOT – that will be separated by 10 meters and need to be structurally flat and thermally stable to meet demanding pointing and interferometric phase stability requirements. This project built and tested a full-scale deployable reflectarray prototype (left) that has become the current baseline for the SWOT mission. (M. Thompson, JPL, ACT-08)

A key challenge for the SWOT mission will be a low-power, high bandwidth Ka-band receiver that is capable of making precision measurements over a wide bandwidth. This project has completed design, development, and implementation of a single-stage Dual Down Converter (or DDC, shown here) that meets SWOT mission requirements. (P. Siqueira, University of Massachusetts, ACT-08)

A three-frequency microwave radiometer has also been proposed for the SWOT mission to improve accuracy in estimating wet tropospheric path delay in coastal zones and over land. This ACT project designed, developed and built the multi-frequency antenna horn shown here and integrated it into the radiometer system. The completed prototype underwent testing and met SWOT requirements. (S. Reising, Colorado State University, ACT-08)

A second project is working to design and prototype a precision deployable mast for the two KaRIn antennas, including the hinges and latches needed for precise space deployment and an accurate pointing adjustment mechanism. Shown here is the mechanical drawing for a 180 degree hinge and latch at the mid-span of the boom. (G. Agnes, JPL, ACT-10)

A GNSS RF ASIC for Digital Beamforming Applications, T. Meehan, JPL

Advanced Thermal Packaging Technologies for RF Hybrids, J. Hoffman, JPL

Advanced Component Development to Enable Low-Mass, Low-Power High-Frequency Radiometers for Coastal Wet-Tropospheric Correction on SWOT, S. Reising, Colorado State University

Large Deployable Ka-Band Reflectarray for the SWOT Mission, M. Thomson, JPL

In-Pixel Digitization Readout Integrated Circuit (ROIC) for the Geostationary Coastal and Air Pollution Events (GEO-CAPE) Mission, D. Rider, JPL

Large Aperture, Solid Surface Deployable Reflector, R. Taylor, Composite Technology Development

A Low Power, High Bandwidth Receiver for Ka-band Interferometry, P. Siqueira, University of Massachusetts

Ultra-sensitive Near-Infrared Optical Receiver Using Avalanche Photodiodes, M. Kainak, NASA GSFC

Advanced Component Technology Maturation for ASCENDS, M. Phillips, Lockheed Martin Coherent Technologies

Hybrid Doppler Wind Lidar Transceiver, C. Marx, NASA OSFC

High-Precision Deployable Reflector for Ka- and W-band Earth Remote Sensing, M. Lane, JPL

A Low Power, High Bandwidth Receiver for Ka-band Interferometry, P. Siqueira, University of Massachusetts

Advanced Near-Infrared Optical Receiver Using Avalanche Photodiodes, M. Kainak, NASA GSFC

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Toward In-Space Validation

The space environment imposes harsh conditions on satellite components and systems. In some cases, there is a need to validate certain new technologies in space prior to use in a mission—particularly those that cannot be adequately and completely tested on the ground or in airborne systems. The In-Space Validation of Earth Science Technologies (InVEST) program was established as a pilot program in 2012 to provide a new validation option for these types of emerging technologies.

Recent advancements in small, standardized satellites and increasing, low-cost, access to space are rapidly transforming the way technologies can be demonstrated and validated. CubeSats—10 cm cube platforms launched as secondary payloads to a larger satellite—are leading the way as a standardized platform. They can be inexpensive, as little as $1-2M including launch and basic operations when leveraging prior payload development, and can be built and launched quickly, generally within 18 to 24 months from concept formulation to deployment. CubeSats can be combined to form 2- or 3-unit configurations for a variety of applications. Although precise numbers are unavailable, there have been approximately 100 CubeSats launched as of 2012.

The first InVEST solicitation was released in September 2012, with proposals due in late November. The solicitation focused on small instruments and instrument systems that can: comply with the CubeSat standard, launch within two years of funding, and provide significant reductions in technology risk.

Future missions will generate very high data volumes. For example, the Multi-angle Spectropolarimetric Imager (MSPI—Instrument Incubator Program, Diner/JPL), a candidate for the ACE mission concept, will produce 95 Megabytes per second per camera. MSPI will house a total of nine cameras. There is currently no way to get that amount of raw data from space to the ground.

One solution is to move the first stage of ground processing to the satellite in a new radiation-hard-by-design FPGA. This would reduce downlink requirements by two orders of magnitude.

In 2011, the MSPI algorithm—developed under an ESTO Advanced Information Systems Technology (AIST) project (AIST-07, Pingree, JPL)—and a new FPGA developed by the Xilinx Corporation were launched on a CubeSat (top right), built by the University of Michigan’s Student Space Systems Fabrication Lab (SSFL, shown at right).

The CubeSat was a secondary payload to the NASA Suomi NPP mission. Access to space was enabled by the NASA CubeSat Launch Initiative. (Images: University of Michigan)

Additional Resources

ESTO launched a new website for 2012 that contains several online resources as well as additional information on ESTO’s approach to technology development, programs, validation activities, and strategic planning:

- General information on current and past programs, studies, solicitations, TRL definitions, events, and more.
- Timely features on ESTO technology projects, progress, achievements, and infusions.
- A fully-searchable database of ESTO investments.
- Social media and news listserv options to stay connected:
  - Twitter: @NASAESTO
  - YouTube: NASAESTO

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